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~~ Patent Literature: Inventor search

File 347: JAPI O Dec 1976-2008/Aug(Updated 081208)

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File 348: EUROPEAN PATENTS 1978-200902

(c) 2009 European Patent Office

File 349: PCT FULLTEXT 1979-2008/UB=20090101|UT=20081225

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File 350: Derwent WPI X 1963-2008/UD=200902

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Set	Items	Description
S1	602	AU=PHILLIPS G?
S2	803	AU=PHILLIPS M?
S3	38	AU=FINLAY M?
S4	19	AU=FINLAY C?
S5	577	AU=KLEIN S?
S6	161	AU=JENNINGS W?
S7	626	AU=ROE M?
S8	2792	S1 OR S2 OR S3 OR S4 OR S5 OR S6 OR S7
S9	5	S8 AND (ASSET OR ASSET OR STOCK OR STOCKS OR INVESTMENT OR INVESTMENTS) AND (VALUE? ? OR EVALUAT???) AND (HISTORY OR HISTORICAL) AND (FORMULA?? OR ALGORI?THM? ? OR CALCULAT???) AND (CHANG?? OR VARY?? OR DEVIAT???)

9/3/1 (Item 1 from file: 348)

DI ALCO R) File 348: EUROPEAN PATENTS

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01403253

**Base rolling engine for data transfer and synchronization system**  
**Base-Rollenmaschine für Datenübertragung und Synchronisierungsverfahren**  
**Moteur de base de roulement pour le transfert de données et le système de synchronisation**

PATENT ASSIGNEE:

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PATENT (CC, No, Kind, Date): EP 1187421 A2 020313 (Basic)

EP 1187421 A3 040414

APPLICATION (CC, No, Date): EP 2001306992 010817;

PRIORITY (CC, No, Date): US 641028 000817

DESIGNATED STATES: AT; BE; CH; CY; DE; DK; ES; FI; FR; GB; GR; IE; IT; LI;

LU; MC; NL; PT; SE; TR

EXTENDED DESIGNATED STATES: AL; LT; LV; MK; RO; SI

INTERNATIONAL PATENT CLASS (V7): H04L-029/06; G06F-017/60; G06F-017/30

ABSTRACT WORD COUNT: 201

NOTE:

Figure number on first page: 18

LANGUAGE (Publication, Procedural, Application): English; English; English  
FULLTEXT AVAILABILITY:

Available Text	Language	Update	Word Count
CLAIMS A	(English)	200211	803
SPEC A	(English)	200211	15593
Total word count - document A			16396
Total word count - document B			0
Total word count - documents A + B			16396

9/3/2 (Item 2 from file: 348)

DI ALCOY R) File 348: EUROPEAN PATENTS

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01005213

LDL- RECEPTOR

LDL- RECEPTOR

RECEPTEUR DE LDL

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PATENT (OC, No, Kind, Date): EP 988379 A1 000329 (Basic)

EP 988379 B1 070411

WO 1998046743 981022

APPLICATION (OC, No, Date): EP 98917374 980415; WO 98081102 980415

PRIORITY (OC, No, Date): US 43553 P 970415; US 48740 P 970605

DESIGNATED STATES: AT; BE; CH; DE; DK; ES; FR; GB; GR; IE; IT; LI; LU; NL;

PT; SE

INTERNATIONAL PATENT CLASS (V7): C12N-015/12; C12N-015/11; C12Q-001/68;

C07K-014/705; C07K-016/28; A61K-038/17; A61K-039/395; A61K-048/00

INTERNATIONAL CLASSIFICATION (V8 + ATTRIBUTES):

IPC + Level Value Position Status Version Action Source Office:

C12N-0015/12 A I F B 20060101 19990205 H EP

C12N-0015/11 A I L B 20060101 19990205 H EP

C12Q-0001/68 A I L B 20060101 19990205 H EP

C07K-014/705 A I L B 20060101 19990205 H EP

C07K-016/28 A I L B 20060101 19990205 H EP

A61K-038/17 A I L B 20060101 19990205 H EP

A61K-039/395 A I L B 20060101 19990205 H EP

A61K-048/00 A I L B 20060101 19990205 H EP

NOTE:

No A-document published by EPO

LANGUAGE (Publication, Procedural, Application): English; English; English  
FULLTEXT AVAILABILITY:

Available Text	Language	Update	Word Count
CLAI MS B	(English)	200715	528
CLAI MS B	(German)	200715	504
CLAI MS B	(French)	200715	589
SPEC B	(English)	200715	46525
Total word count - document A			0
Total word count - document B			48146
Total word count - documents A + B			48146

9/3/3 (Item 1 from file: 349)

DI ALCO (R) File 349: PCT FULLTEXT

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01602611 \*\*\*Image available\*\*\*

DI HYDROCRotate DEHYDROGENASE INHIBITORS WITH SELECTIVE ANTI-MALARIAL ACTIVITY  
INHIBITEURS DE LA DIHYDROCRotate DESHYDROGENASE AVEC ACTIVITE ANTI-MALARIE SELECTIVE

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Patent and Priority Information (Country, Number, Date):

Patent: WO 2007149211 A1 20071227 (WO 07149211)

Application: WO 2007US13161 20070605 (PCT/WO US2007013161)

Priority Application: US 2006815568 20060622

Designated States:

(All protection types applied unless otherwise stated - for applications 2004+)

AE AG AL AM AT AU AZ BA BB BG BH BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM DO DZ EC EE EG ES FI GB GD GE GH GM GT HN HR HU ID IL IN IS JP KE KG KM KN KP KR KZ LA LC LK LR LS LT LU LY MA MD ME MG MK MN MW MX MY NZ NG NI NO NZ OM PG PH PL PT RO RS RU SC SD SE SG SK SL SM SV SY TJ TM TN TR TT TZ UA UG US UZ VC VN ZA ZM ZW  
(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IS IT LT LU LV MC MT NL PL PT RO SE SI SK TR  
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG  
(AP) BW GH GM KE LS MW MZ NA SD SL SZ TZ UG ZM ZW  
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Publication Language: English

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Fulltext Word Count: 8175

9/3/4 (Item 2 from file: 349)

DI ALCO (R) File 349: PCT FULLTEXT

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01394343 \*\*\*Image available\*\*\*

SHARABLE MULTI-TENANT REFERENCE DATA UTILITY AND REPOSITORY, INCLUDING VALUE ENHANCEMENT AND ON-DEMAND DATA DELIVERY AND METHODS OF OPERATION  
UTILITAIRE DE DONNEES DE REFERENCE PARTAGE ET GISEMENT DE DONNEES, A VALEUR

**AJOUTEE ET DELIVRANCE DE DONNEES A LA DEMANDE, ET PROCEDES DE FONCTIONNEMENT**

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200676520 A2-A3 20060720 (WO 0676520)

Application: WO 2006US1133 20060113 (PCT/WO US2006001133)

Priority Application: US 2005644045 20050114; US 2005648497 20050131; US 2005654376 20050218; US 2005694815 20050628; US 2005318355 20051222; US 2005318425 20051222; US 2005318426 20051222; US 2005318428 20051222

Designated States:

(All protection types applied unless otherwise stated - for applications 2004+)

AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM  
DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KM KN KP KR  
KZ LC LK LR LS LT LU LV LY MA MD MG MK MN MW MX NA NG NI NO NZ OM PG  
PH PL PT RO RU SC SD SE SG SK SL SM SY TJ TM TN TR TT TZ UA UG UZ VC VN  
YU ZA ZM ZW  
(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IS IT LT LU LV MC NL  
PL PT RO SE SI SK TR  
(OA) BF BJ OF OG QI OM GA GN GQ GW ML MR NE SN TD TG  
(AP) BW GH GM KE LS MW MZ NA SD SL SZ TZ UG ZM ZW  
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

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Fulltext Word Count: 70459

9/3/5 (Item 3 from file: 349)

DI ALQ R) File 349: PCT FULLTEXT

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00456279 \*\*image available\*\*

NOVEL LDL-RECEPTOR  
NOUVEAU RECEPTEUR DE LDL

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Patent and Priority Information (Country, Number, Date):

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Application: WO 98/01102 19980415 (PCT/WO 98/01102)  
Priority Application: US 9743553 19970415; US 9748740 19970605

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GE GH GM  
GW HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX  
NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG US UZ VN YU ZW GH  
GM KE LS MW SD SZ UG ZW AM AZ BY KG KZ MD RU TJ TM AT BE CH CY DE DK ES  
FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA GN ML MR NE SN TD

TG

Publication Language: English

Fulltext Word Count: 36692

~~ Non-Patent Literature: Inventor search

- File 2: INSPEC 1898-2009/Nov W5  
(c) 2009 Institution of Electrical Engineers  
File 9: Business & Industry(R) Jul/1994-2009/Jan 13  
(c) 2009 Gale/Cengage  
File 15: ABI/Inform(R) 1971-2009/Jan 15  
(c) 2009 ProQuest Info&Learning  
File 610: Business Wre 1999-2009/Jan 15  
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(c) 2009 San Jose Mercury News  
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(c) 1999 Business Wre  
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(c) 1999 PR Newswire Association Inc  
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(c) 2008 American Banker

File 268: Banking Info Source 1981-2009/Jan W  
(c) 2009 ProQuest Info&Learning  
File 626: Bond Buyer Full Text 1981-2008/Jul 07  
(c) 2008 Bond Buyer  
File 267: Finance & Banking Newsletters 2008/ Sep 29  
(c) 2008 Dialog  
File 16: Gale Group PROMT(R) 1990-2009/ Dec 26  
(c) 2009 Gale/Cengage  
File 148: Gale Group Trade & Industry DB 1976-2009/Jan 05  
(c) 2009 Gale/Cengage  
File 160: Gale Group PROMT(R) 1972-1989  
(c) 1999 The Gale Group  
File 275: Gale Group Computer DB(TM) 1983-2009/ Dec 23  
(c) 2009 Gale/Cengage  
File 621: Gale Group New Prod. Annou. (R) 1985-2009/ Dec 12  
(c) 2009 Gale/Cengage  
File 636: Gale Group Newsletter DB(TM) 1987-2009/ Dec 26  
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File 20: Dialog Global Reporter 1997-2009/Jan 15  
(c) 2009 Dialog  
File 35: Dissertation Abs Online 1861-2008/Nov  
(c) 2008 ProQuest Info&Learning  
File 65: Inside Conferences 1993-2009/Jan 15  
(c) 2009 BLDSC all rts. reserv.  
File 99: Wilson Appl. Sci & Tech Abs 1983-2008/Cct  
(c) 2008 The HW Wilson Co.  
File 474: New York Times Abs 1969-2009/Jan 15  
(c) 2009 The New York Times  
File 475: Wall Street Journal Abs 1973-2009/Jan 15  
(c) 2009 The New York Times  
File 583: Gale Group Globalbase(TM) 1986-2002/ Dec 13  
(c) 2002 Gale/Cengage  
File 139: EconLit 1969-2008/Dec  
(c) 2008 American Economic Association  
File 256: InfoSource 82-2009/Dec  
(c) 2009 Info. Sources Inc

Set	Items	Description
S1	2356	AU=(PHILLIPS, G? OR PHILLIPS G? OR PHILLIPS(2N) G?) OR BY=P-HILLIPS(2N) G?
S2	4772	AU=(PHILLIPS, M? OR PHILLIPS M? OR PHILLIPS(2N) M?) OR BY=P-HILLIPS(2N) M?
S3	192	AU=(FINLAY, M? OR FINLAY M? OR FINLAY(2N) M?) OR BY=FINLAY(2N) M?
S4	214	AU=(FINLAY, C? OR FINLAY C? OR FINLAY(2N) C?) OR BY=FINLAY(2N) C?
S5	3127	AU=(KLEIN, S? OR KLEIN S? OR KLEIN(2N) S?) OR BY=KLEIN(2N) S?
S6	224	AU=(JENNINGS, W? OR JENNINGS W? OR JENNINGS(2N) W?) OR BY=JENNINGS(2N) W?
S7	1523	AU=(RICE, M? OR RICE M? OR RICE(2N) M?) OR BY=RICE(2N) M?
S8	12401	S1 OR S2 OR S3 OR S4 OR S5 OR S6 OR S7
S9	11	S8 AND (ASSET OR ASSET OR STOCK OR STOCKS OR INVESTMENT OR INVESTMENTS) AND (VALUE? ? OR EVALUAT???) AND (HISTORY OR HISTORICAL) AND (FORMULA??? OR ALGORITHM? ? OR CALCULAT???) AND (CHANGE??? OR VARY??? OR DEVIAT???)
S10	5	S9 NOT PY>2000
S11	4	RD (unique items)

11/3, K1 (Item 1 from file: 15)  
DIALOG(R) File 15: ABI/Inform(R)  
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02089162 63725093

**Drucker as business moralist**

Klein, S

Journal of Business Ethics v28n2 PP: 121-128 Nov 2000

ISSN: 0167-4544 JRNL CODE: JBE

WORD COUNT: 5236

Klein, S

...TEXT: examining in this 1981 article is a form of casuistry. According to Drucker, the casuist **formulates** special ethics for people in power. Casuistry, he thinks, leads to unethical practices. Under the... self-control, community, social responsibility, quality of life, self-fulfillment, duty, purpose, dignity, meaning, and **values** in general."3

In what follows, I wish to develop Beatty's view that Drucker advances the public good through the "invisible hand". In terms of performance, **history** has proven Mandeville remarkably right. But morally his principle was never acceptable. And the fact...

...are not solvable by the specific competencies of management and which require a commitment to **values** which are not a good fit with business **values**. When businesspeople attempt to solve social problems for which they are not competent and which entail an understanding of **values** to which they are not particularly committed, they, as Drucker suggests, can only do harm..

...business should attempt to reduce societal impacts that do not accord with the competencies and **values** of businesspeople to a minimum or, better, to eliminate them if possible.27 But Drucker... example of such a managerial craftsman. Like any good statesman, he thought about the basic **values** that should guide his organization and emphasized those which truly have intrinsic worth. Above all...

...from his 1954 and 1974 management classics, as well as from Beatty's work - has **changed** in recent years. On the contrary, Drucker has applied his business ethics insights to what...

...45 The goals of specialists are subject specific and must be integrated under the umbrella **values** of organizations which are mission specific.

If this is Drucker's picture of post-capitalist...the purpose of business is to provide customers with goods and services they consider a " **value** ." He says, "What the customer thinks he is buying, what he considers **value** " is decisive - it determines what a business is, what it produces and whether it will...

...Forbes (September 14, 1981), and Peter E Drucker, "The Matter of Business Ethics" in The **Changing** World of the Executive (New York: Truman Talley Books, 1982).

2 Drucker, p. 20.

3...

...profits. But the shareholder, at least in a publicly owned company, can always sell his **stock**. Society, however, is stuck with the enterprise. It has to take the loss if the...

11/3, K/2 (Item 2 from file: 15)

DI ALCO (R) File 15: ABI/Inform (R)

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01038851 96-88244

**Neural networks enter the world of management accounting**

Brown, Carol E; Coakley, James; **Phillips, Mary Ellen**

Management Accounting v76n11 PP: 51-53+ May 1995

ISSN: 0025-1690 JRNL CODE: NAA

WORD COUNT: 3322

... **Phillips, Mary Ellen**

ABSTRACT: Financial managers must keep up with this new realm of artificial intelligence, which is **changing** the way financial transactions are handled. When artificial intelligence techniques are applied to the area

...TEXT: highway has revolutionized the way we access, use, and store information, artificial intelligence (AI) is **changing** the way we practice accounting and structure internal controls.

Artificial intelligence is the study of...way of explaining the prediction to the store managers.

Learning. Human experts automatically adapt to **changing** environments, but rule-based expert systems must be updated explicitly. This lack of ability to adapt to **change** automatically is one of the drawbacks of rule-based systems. Case-based reasoning systems can...

...and planning future schedules and expenditures. Airlines must schedule use of their airport gates, which **changes** constantly because of flight takeoff delays and late-arriving flights.

Air Canada's neural network...

...a mainframe-based neural network designed to control losses from credit card transactions. The system **calculates** the likelihood that a current transaction is fraudulent based on the card's **history** and various models of criminal behavior. The bank uses the system's information to notify...trades. The flags earmarking a fraudulent transaction are dollar volume for that type of trade, **historical** norms, and other trading pattern disruptions.

For proprietary reasons, the bank will not reveal the...

...s management believes the system provides a level of control and information not available previously.

## INVESTMENTS

Many systems also have been developed to help investors and **investment** companies manage **investments** in securities. Fidelity has a neural network it uses as a decision aid in **stock** purchases for mutual funds. The neural network makes a very accurate forecast about 10% of...

...indicators are used to rank the expected future returns of 1,000 equities. Currently owned **stocks** are sold and are replaced by those with future return rating over a certain cutoff...

...predict the S & P 500 index. Shearson Lehman's neural network predicts the performance of **stocks** and bonds to help market traders in making their buy, hold, and sell decisions. The...

...the moment it is used mainly by financial forecasters trying to make their fortunes--with **varying** degrees of success. Dalneko is a Scottish Chartered Accountant and is interested in using Braincel...

...quality of individual loan officers' credit assessment skills show dramatic gaps and inconsistencies. Individual officers **change** their risk grades over time. Collectively, bank lenders fail to meet their institutions' defined acceptable...

...with every step of the job of managing credit. The system has neural networks to **evaluate** credit risks via credit scoring. For overdue accounts, the computer assembles the information needed to...

...collection letter, files all the paperwork, and creates a reminder for the collector. The system **changed** the hiring from number crunchers to employees with high people skills and broadened the scope...

...Advisor is integrated into a mainframe-based consumer credit scoring application program. The neural networks **evaluate** credit for customers who are leasing automobiles and for customers who are buying them. These...



...effort of GMAC's internal audit staff.

Chase Manhattan Bank uses its neural network to **evaluate** commercial loan applications for its \$300 million annual loan business. The neural network reduces loan...

...neural network, AREAS, is a residential property valuation system AREAS automatically provides a baseline appraisal **value** and explains the factors that contribute to or detract from the property **value**. The system includes a continuously updated database of recent sales and other data. Valuations are... as a management accountant/financial manager to ensure long-run employability. If you fight technological **change**, the best possible outcome is only a temporary reprieve.

Note:

The published sources of information...

11/3, K/3 (Item 3 from file: 15)  
DI ALCOG (R) File 15: ABI / Inform (R)  
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00674581 93-23802

**Toward a middle way in the polarized debate over employment at will**

**Phillips, Michael J**

American Business Law Journal v30n3 PP: 441-483 Nov 1992

ISSN: 0002-7766 JRNL CODE: ABL

WORD COUNT: 19303

**Phillips, Michael J**

...TEXT: Increasing employees' awareness of those terms. The article begins by briefly summarizing at will's **history** and the emergence of common law wrongful discharge actions in the 1970s and 1980s. Then...

...the quotation from Wood seems to recognize, discharged at-will employees can recover the reasonable **value** of the services they actually perform (20) And that quotation makes clear, employment at will... implied-in-fact statements about discharge policy.

**PUBLIC POLICY CLAIMS**

Although estimates of its adoption **vary**, the public policy exception to employment at will probably has been recognized in at least... is time for yet another ethical/policy analysis of employment at will. When trying to **evaluate** that doctrine, one must decide where to begin among the array of claims the debate...

...wealth enables them to obtain.

Of course, utility need not be the sole criterion for **evaluating** actions. Instead, utility-based claims might be weighed against other moral claims when making decisions...

...workplace discipline. (66) For these reasons, it also forces employers to expend more resources in **evaluating** the employees they hire and in other preventative measures. (67) The resulting costs mean losses... the costs created by protecting employees against unjust dismissals may mean greater unemployment. (80)

**AN EVALUATION**

If I were forced to guess, the preceding section's claims and counterclaims would lead... may prevent them from seeking better employment prospects elsewhere. (135) Because such attachments surely have **value**, it might be maintained that people should not be required to forego them in situations... rights. Of course, whether an employee would make either hypothetical deal depends on the relative **value** she attaches to money and risk. Almost certainly, however, some would sign on. As Maitland... employment really is

onerous, worker discontent surely would have compelled courts or legislatures to make **changes**. To some degree, however, those **changes** have been made, and different public attitudes are a plausible explanation for the **changes**.

#### THE ARGUMENT FROM RATIONALITY

But Epstein and those like him have other reasons for believing...

...that these arguments tend to presuppose people of a certain character, with certain interests and **values**. In other words, while the arguments show why a rational employee might choose to be...

...risk-averse should tend to favor job protection over money. Are such interests, drives, and **values** irrational? The dominant modern (i.e., post-1600) conception of reason sees it as a...

...Indeed, I suspect that some employees are uncertain about their preferences, or watch those preferences **change** with time and circumstances. In part, this individual uncertainty exists because the relevant choices--e...

...confronted with an explicit choice over a range of circumstances, a majority of Americans would **value** job security over increased pay. If accurate, this guess might support reading some limitations on...subject. This policy recognizes that people are different and that they seek to realize different **values**, goals, and interests through their employment relationships. Therefore, it respects their autonomy. For much the...

...whenever possible, perhaps they would not respond to the segment of the work force that **values** job security. However, employers--often those same employers--also make express promises about job security...

...disclaim it. As a result, they effectively would have to choose between workers who strongly **value** job security and workers who rate money or other benefits more highly. Absent successful collusion...to meet these tests, courts force employers to state their discharge terms in a fashion **calculated** to maximize the chance that employees will read and comprehend them

CAN EMPLOYEES CARE FOR...to approve the draft as a uniform law was defeated. Id. at 540:21. The **historical** and background statements that precede the act suggest several reasons for its promulgation. These include ...81 Tenn. 507, 519-20 (1884).

19 John W. Blackburn, Restricted Employer Discharge Rights: A **Changing** Concept of Employment at Will, 17 AM. Bus. L.J. 467, 467-68 (1980).

20...

...were deemed unreasonable, quasi-contract can justify an employee's ability to recover the reasonable **value** of her services.

21 See, e.g., Larson, supra note 10, at 221,24.

22.. Employment Relationship, 17 HOFSTRA L. REV. 365, 380-99 (1989); John D. Coombe, Employee HANDBOOKS: **Asset** or Liability?, 12 EMPLOYEE REL. L.J. 4, 15-16 (1986); James G. Frierson, How...

...incurred significant "indirect" costs brought about by new human-resource practices made in response to **changes** in the law. Id. at 63. However the authors admitted that as yet there are no case studies directly documenting how **changed** practices and those costs. Id. at 65. In fact, given the relatively low direct legal...reports that the same dinner offers a utility of 100, we cannot say which individual **values** the dinner more since they could be using very different scales." Id.

83 Act-utilitarianism...

...universalization" formulations of the imperative. For such criticisms, see, e.g., ALASDAIR MACINTYRE, A SHORT HISTORY OF ETHICS 197-98 (paperback ed. 1966); RACHELS, supra note 58, at 108-09 (paperback... supply beyond present employees. Attempting to exploit this position beyond obtaining a fair return on investment in specialized training will increase the movement of workers to other areas and could produce losses on past investment in them

134 RICHARD A. POSNER, ECONOMIC ANALYSIS OF LAW 300 (3d ed. 1986).

135...

...here with people and not objects, supply and demand is an improper means for establishing value").

149 Epstein, supra note 10, at 951.

150 E.g., RESTATEMENT (SECOND) OF CONTRACTS sec204...10, at 1105.07. Using data provided by fees of employment at will, the authors calculate yearly probabilities of .000154,.005768, and .002083 for various types of wrongful discharges.

11/3, K/4 (Item 1 from file: 148)

DI ALCOX File 148: Gale Group Trade & Industry DB

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06482153 SUPPLIER NUMBER: 13975840 (USE FORMAT 7 OR 9 FOR FULL TEXT)

1993 financial guide to equipment leasing. (includes leasing resource

directory) (A Railway Age special section)

Kruglinski, Anthony D.; Rice, Michael Downey

Railway Age, v194, n6, p53(15)

June, 1993

ISSN: 0033-8826

LANGUAGE: ENGLISH

RECORD TYPE: FULLTEXT; ABSTRACT

WORD COUNT: 8162

LINE COUNT: 00629

... Rice, Michael Downey

...ABSTRACT: in 1993 is expected to be one of the highest in recent years, which could change the supply and demand ratio for railroads looking to lease. This overview of railroad equipment...

... on Eastern, Midway, Pan Am etc. Second, used railcars and locomotives continue to hold their values and actually may be appreciating. Finally, the credit quality of the rails is better than ever, with even some later-day LBOs achieving coveted "investment grade" status.

What about the builders? We spoke to a few on and off the novices, questions of equipment value have two roots. First, if I'm going to depreciate the equipment as an owner...

...Second, since whether I win or lose the transaction is a function of what residual value I place on the equipment (simply put, the end-of-lease or early buy-out value of the equipment that hasn't been amortized in the payment stream, what is the...

...have mastered a technique (using outside experts or their own learned knowledge) to deal with value issues encountered in their transactions, we would like to devote a few lines to evolutionary issues that will impact equipment residual values in the future:

Depreciation

If you have not, as yet, encountered the concept of car...

...normally depreciate at a rate of 2% to 3% per year as the owner's investment in the car is recouped) in return for giving up the assurance of specific car...

...confusion as a half dozen or more railroads argue about what is the market rental value for a car as it crosses their properties during a single move? Yes. Or could some sort of "Blue Book" akin to that utilized

by used-car dealers to set **values** be developed over time? Yes. Will this impact residual **values** on affected cars? You bet! How? Who knows?

Lest we be condemned for sowing the...

...leasing marketplace, we'd note that the folks that are most impacted by this pending **change** (it goes into effect on Jan. 1, 1994, after the completion of a one-year...

...The financial fruits of reinvestment in rebuilding railcars must now be recouped (like the initial **investment**) in the rates set by the free market.

How could this impact residual **values**? Under the old rules, if I acquired a 15-year-old used boxcar for \$6...

...off-line, I could significantly rebuild it for \$20,000 to \$25,000 in additional **investment** and end up with a car with increased off-line earnings of \$.72 an hour. While the jury is still out on just what factors the market will **value** in setting new market rates, I'm absolutely sure that I won't be guaranteed a vehicle to return my **investment** at an initial rate of \$.72 per hour. That's the free market at work. It's also a **formula** for paying less for used equipment that I would have intended to rebuild or for...

...we have been regularly predicting (and reporting when it occurred) a drastic decline in the **value** of steel coal cars. Originally, we only focused on ...cars. On the other hand, the real culprit in the plunge in steel coal car **values** (eastern and western) appears to be a fall in the price of aluminum resulting from...

...whatever, you're in good shape with more sellers than buyers.

Are things likely to **change**? Not as far as we can see. Interest in aluminum equipment from utilities remains high...

...five SD40-2 d.c. locos, is likely to have a revolutionary impact on the **value** of all six-axle high-horsepower units now in service. The a.c. locomotive's...

...c. power from EMD or (soon, we'd suspect) from GE Transportation Systems?

Answer: Residual **values** on these modern locomotives ("Dash 2" EMD units and "Dash 8" GE units) are likely...

...D'Accord)

Ted's emphasis is on early identification of the lessee's method in **evaluating** competitive lease bids. For instance, is the lessee an "all-in NPV" player (that is, does the lessee focus on the net present **value** of the lease payments and the probable present **value** of the future cost of eventually buying the equipment), or does the lessee place more...potential lessor that ignores the terms and conditions requested by the lessee and makes no **changes** is creating not an impression that everything is acceptable, but one of arrogance that non...

...can secure favorable terms from a variety of lessors. You won't be able to **change** the market and get everything you want just because you're writing the check."

Wait...

...the longest lease term possible. Walt feels that most railroads rank a proposal by present **value** and that it's no secret that the longer the lease term the lower the present **value**. A review of **historical** information and data on the current fleet make-up should provide support for the lease terms assumed.

What next? Propose an optimized all-in present **value** that includes an early buy-out option. (Lease optimization involves asking a computer program to...

...best deal to the lessee.) Walt feels that most railroads will desire to control the **asset** at the end of the financing and will therefore look to an EBO to limit the future cost of acquiring the **asset**. An optimized EBO

proposal is a balance between required lessor economics, lease term tax test...

...meet the shippers' needs as well as interchange requirements of the Association of American Railroads. **Changing** these practices is time consuming and potentially expensive, and most railroads won't easily go... kill in the marketplace by adapting a.c. passenger locomotive technology from Europe.

Operating lessors **vary** in roles

One of the most important skills to develop as you cast about for...

...and the opportunity to look through the immediate utility of older railcars to their scrap **values**.

Boxcars? Clearly the king of the hill in this car type is General Electric Railcar Services. They have the financial muscle and stamina to make **investments** in the future. Other players? Greenbrier Leasing on the west coast, and two smaller eastern...

...transactions in the market, QtiRail has found success in specialized opportunities that involve significant equipment **investment** coupled, where appropriate, with customized corporate finance-oriented structures.

Chicago Freight Car Leasing Co.

Chicago...SD40-2s, GP38-2s, and MP15s.

As both an operating and capital lessor of rolling **stock**, GATX Capital has the flexibility to tailor transactions to a lessee's needs, with transactions...

...be a world-class company committed to being the best in customer service by adding **value** and efficiency to the railroad industry. Customer service is provided primarily by the company's...

...management services include detailed monthly reports for the car owner covering revenue and expense history **and** an audit of car-hire receivable and repair billing. Interail also specializes in the purchasing...Railcar Ltd. specializes in the repair, rehabilitation, leasing, and management of used railroad rolling stock **and** is an affiliate of Railcar Management, Inc., a leading supplier of computer software and processing...

...locomotives. Its role as an operating lessor represents less than 10% of RESIDCO's historical **volume** (which includes third party lease advisory and management services), yet operating lease activity is a...

...totalled 98,729 railcars at year-end 1992. Currently, over 99% of TTX's stock **is** owned by the nation's leading railroads and their affiliates. These railroads are the predominant...3. Don't neglect the lease residual. Leases often are financing devices, and are evaluated **on** the basis of present value **of** rents. The potential value of the equipment at the end of the lease term may seem to be too speculative...

...the lease.

4. Don't procrastinate on the CVs and TVs. Casualty and termination values **have** a way of hiding out during the lease negotiations, appearing only in the final draft or execution document. Those values **involve** money. Smoke them properly and negotiate better ones if they don't look right. Ideally, the casualty and termination values **should** be included with other economic terms in the proposal package, used to evaluate **competing** deals.

5. Don't leave things out of the termsheet. The basic economic terms ...

...of the equipment at the expiration of the lease. With the current prospect of change **in** tax law to provide investment **incentives**, the lessee should also seek proposals for sharing of any such tax incentives by prospective...

...one of the nation's more active lessor advisors, has announced that it has changed **its** name to Trinity Advisors. The firm which was formed in 1987, has three partners, Tyler Glenn, Dean Riskas, and Diane Brandt. In announcing the name change, **Glenn** explained that the new name reflected

the company and the way it did business, "Trinity...

~~ Non-Patent Literature: Full Text

Dialog files:

9, 15, 16, 20, 149, 160, 267, 268, 275, 610, 613, 621, 624, 625, 626, 634, 636, 810, 813

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(c) 2008 American Banker  
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(c) 2009 Gale/Cengage  
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S1	1720915	(ASSET OR ASSETS OR EQUITIES OR SECURITIES OR INVESTMENT OR INVESTMENTS) (4N) (VALUE? ? OR VALUING OR VALUATION OR WORTH OR EVALUAT??? OR APPRAIS??? OR ASSESS??? OR CRITIC???)
S2	1633031	VARIABLE? ? OR VALUE? ? OR VALUATION OR PRICE? ? OR COST OR COSTS OR STATISTICS? ? OR STATS OR FACTS OR PARAMETER? ? OR ATTRIBUTE? ? OR FACTOR? ? OR CHARACTERISTICS? ? OR CRITERIA OR QUALITY??? OR STOCHASTIC? OR METRICS OR DATA
S3	943588	HISTORY OR HISTORICAL OR PREVIOUS? ? OR PRIOR OR PAST OR EARLIER OR BEFORE OR BEFOREHAND OR PRECED??? OR PRECEED??? OR ANTECEDENT
S4	1023247	EXOGENOUS?? OR EXTERNAL?? OR OUTSIDE OR SEPARATE?? OR ADDITION?? OR ANOTHER OR ALTERNATE?? OR ALTERNATIVE?? OR SUPPLEMENTA?? OR DIFFERENT OR SEPARATE OR RELATED OR UNRELATED OR ASSOCIATED OR (THIRD OR 3RD) PARTY
S5	1041541	CHANGE?? OR VARY??? OR DEVIATE??? OR DIFFER?? OR DIFFERENCE? ? OR DIFFERENT??? OR CORRECT??? OR MODIFY?? OR MODIFY?? OR REVERSE? ? OR REVISION? ? OR ADJUST??? OR ADJUSTMENT? ? OR INCREASES??? OR DECREASES???
S6	416397	FORMULA??? OR FORMULALLY OR FORMULA? OR EQUATE??? OR EQUATION? ? OR ALGORITHM? ? OR CALCULATE??? OR FIGURE??? OR COMPUTE OR COMPUTED OR COMPUTES OR COMPUTING OR COMPUTATION?
S7	148456	S2(2N) S3

S8 229316 S2(2N) S4  
 S9 93663 S5(14N) S6  
 S10 85 S7(12N) S8(12N) S9  
 S11 33 S10 NOT PY-2000  
 S12 26 RD (unique items)

**12/3, K/1 (Item 1 from file: 15)**

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02330566 86926470

**Environmental quality perceptions of urban commercial real estate**

Bender, Andre; Din, Allan; Hoessli, Martin; Laakso, Janne  
 Journal of Property Investment & Finance v17n3 PP: 280 1999  
 ISSN: 1463-578X JRNL CODE: PRVF  
 WORD COUNT: 5637

...TEXT: insurance companies and pension funds and an important task of the management is to monitor **changes** in capital value so as to be able to **calculate** annual, or even quarterly period returns. The lack of **historical** transaction **data** for **different** real estate sectors is, however, often an impediment to such a **calculation**.

Beyond a purely statistical description of past and present real estate values, there is the...

**12/3, K/2 (Item 2 from file: 15)**

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02329210 86926488

**Investment valuation models Annually in arrears data in quarterly in advance cash flows**

French, Nick; Cooper, Richard  
 Journal of Property Investment & Finance v18n2 PP: 225-238 2000  
 ISSN: 1463-578X JRNL CODE: PRVF  
 WORD COUNT: 4485

...TEXT: a quarterly in advance cash flow producing the same annual income will, for a given **equated** yield, produce a **different** **valuation** or net present value. Obviously, the quarterly in advance cash flow will be of higher **value** as the **earlier** receipt of income allows for earlier reinvestment. Accordingly, for a given required return, the quarterly...

**12/3, K/3 (Item 3 from file: 15)**

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01977600 48275550

**Modeling the value of location in Regina using GIS and spatial autocorrelation statistics**

Figueroa, Roberto A  
 Assessment Journal v6n6 PP: 29-37 Nov/Dec 1999  
 ISSN: 1073-8568 JRNL CODE: ASJ  
 WORD COUNT: 4380

...TEXT: the quality and size factor were not considered. Nonetheless, the results obtained do indicate the **different** **values** of location in Regina's neighborhoods. This is reflected in **figure 2**, which displays the relationship between actual prices and predicted **prices** **before** and after including estimates of location values in model 2. Predicted and observed prices are...

**12/3, K/4 (Item 4 from file: 15)**

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01953401 46413092

**Smoothing in commercial property valuations: Evidence from the trenches**

Hamilton, Stanley W Clayton, Jim  
Real Estate Finance v16n3 PP: 16-26 Fall 1999  
ISSN: 0748-318X JRNL CODE: RFN  
WORD COUNT: 5951

...TEXT: EFFECT OF INFORMATION AVAILABILITY

To statistically test the claim that the extent of anchoring on **past data** is **related** to the **quality** of current market information available to appraisers, we **modify Equation** (4) to allow for interaction effects. Specifically, assume that the smoothing or confidence **factor**,  $\alpha$ , is **related** to the dispersion in comps' cap rates, as follows:

**CONCLUSIONS**

Appraisal smoothing at the individual...

12/3, K/5 (Item 5 from file: 15)

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01826356 04-77347

**The NAREIT index of REIT industry performance**

Grupe, Michael R; Di Rocco, Charles J  
Real Estate Finance v16n1 PP: 21-50 Spring 1999  
ISSN: 0748-318X JRNL CODE: RFN  
WORD COUNT: 5006

...TEXT: reconciling all of the smaller differences over ten years of daily data compiled from two **separate data** sources may not be feasible. Nevertheless, in constructing the revised historical record, it was particularly important both to resolve differences where we could and to create **historical data** that were analytically consistent with the new daily performance information now **calculated** and published by NAREIT.

(...)

capture these two important **changes** in the **historical data** and at the same time preserve the overall long-run returns of the originally published data, we benchmarked the **historical daily price** returns **calculated** by **Wishire Equator** to the compound annual price returns originally published by NAREIT after **adjusting** those original annual returns from monthly compounding to daily compounding.<sup>8</sup>

(Table Omitted)

Captioned as...

12/3, K/6 (Item 6 from file: 15)

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01725036 03-76026

**Fair value accounting and regulatory capital requirements**

Yonetani, Tatsuya; Katsuo, Yuko  
Economic Policy Review v4n3 PP: 33-43 Oct 1998  
JRNL CODE: EPV  
WORD COUNT: 4315

...TEXT: with fair value accounting the perceived volatility in fair value earnings incremental to that in **historical cost** earnings in the valuation of low-capital-ratio banks' shares.

Examined from a **different** angle, our findings indicate that the choice of



accounting formula adopted in regulatory capital requirements is very important. If an inappropriate accounting formula is adopted...

**12/3, K/7 (Item 7 from file: 15)**

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01292809 99-42205

**Insurance company loss reserve adjustments and security prices**

Cagle, Julie A B

Journal of Insurance Regulation v15n1 PP: 124-149 Fall 1996

ISSN: 0736-248X JRNL CODE: JIA

WORD COUNT: 6468

...TEXT: variables are the announcer's three day (-1 to 1) cumulative prediction error and those variables previously examined in cross-sectional analysis of the announcers' stock price reaction.

Table 5 presents the parameter estimates and associated p-values. The equation F-statistic and the adjusted R sup 2 indicate that the explanatory variables fail to explain much of the share...

**12/3, K/8 (Item 8 from file: 15)**

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01171278 98-20673

**Improved estimates of the National Income and Product Accounts for 1959-95: Results of the comprehensive revision**

Anonymous

Survey of Current Business v76n1-2 PP: 1-31 Jan/ Feb 1996

ISSN: 0039-6222 JRNL CODE: SCB

WORD COUNT: 16107

...TEXT: In addition, there are also small differences between the IPD's and the chain-type price indexes for earlier periods because (1) the prices of the CBI components used to calculate chain-type quantity and price indexes different from the price deflators of the detailed CBI components, (2) the quarterly chain-type output and price indexes...

**12/3, K/9 (Item 9 from file: 15)**

DI ALCOG(R) File 15: ABI/Inform(R)

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01014580 96-63973

**The effect on sunk costs and opportunity costs on a subjective capital allocation decision**

Devine, Kevin; O Clock, Priscilla

Mid-Atlantic Journal of Business v31n1 PP: 25-38 Mar 1995

ISSN: 0732-9334 JRNL CODE: JBJ

WORD COUNT: 5272

...TEXT: from alternative projects; therefore, the effective rate of return was equivalent under each scenario.(1)

**HISTORICAL COST MANIPULATION**

The historical cost variable was manipulated in the following manner. In the NHC condition, exhibits in the case presented: 1) the original expected return on the project (20%), 2) the revised expected rate of return (calculated based on historical cost dollars invested to date and revised additional costs), and 3) the expected future return on the project (calculated on current exit value and additional required investment). Each calculation considered the timing of future cash flows. In the negative feedback...

**12/3, K/10 (Item 10 from file: 15)**

DI ALCOG(R) File 15: ABI/Inform(R)

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00875421 95-24813

**Causes of the recent increase in bank security holdings**

Keeton, William R

Economic Review (Federal Reserve Bank of Kansas City) v79n2 PP: 45-57

Second Quarter 1994

ISSN: 0161-2387 JRNL CODE: EKC

WORD COUNT: 5423

...TEXT: GDP during a boom

14 In particular, the shock to each variable is one standard **deviation** in size. To **compute** impulse response functions, some choice must also be made as to the ordering of the **variables**. The **earlier** a **variable** comes in the ordering, the more **exogenous** the **variable** is assumed to be. Specifically, shocks to a particular variable are allowed to cause contemporaneous...

**12/3, K/11 (Item 11 from file: 15)**

DI ALCOG(R) File 15: ABI/Inform(R)

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00822313 94-71705

**Choosing accounting rules**

Black, Fischer

Accounting Horizons v7n4 PP: 1-17 Dec 1993

ISSN: 0888-7993 JRNL CODE: ACH

WORD COUNT: 9750

...TEXT: in the earnings-price ratio, but instead of using the current price, we can try **different past prices**. The lag between price and earnings that gives the smallest variation measures the timeliness of the earnings **figure**. The results of using this technique for **different** earnings years are somewhat erratic, but the average for all recent years shows an interesting...

**12/3, K/12 (Item 12 from file: 15)**

DI ALCOG(R) File 15: ABI/Inform(R)

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00771058 94-20450

**Real exchange rates: Some evidence from the Post war years**

Meltzer, Allan H

Federal Reserve Bank of St. Louis Review v75n2 PP: 103-117 Mar/Apr 1993

ISSN: 0014-9187 JRNL CODE: FSL

WORD COUNT: 7817

...TEXT: estimated coefficient.

The use of real money balances combines the separate effects of money and **prices**. To **separate** the effect of policy actions from the effects of prices, I first differentiate  $m_{sub} t = (M/p)$  then lag the denominator by one period to get

(4) ( **Equation** omitted)

The first term is the real **value** (in **past prices**) of the current **change** in nominal balances. The second is the revenue from the inflation tax on last period...

**12/3, K/13 (Item 13 from file: 15)**

DI ALCOG(R) File 15: ABI/Inform(R)

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00753877 94-03269

**FYI - Consumer prices: Examining housing rental components**

Rogers, R Mark; Henderson, Steven W Ginsburg, Daniel H  
Economic Review (Federal Reserve Bank of Atlanta) v78n3 PP: 32-46  
May/Jun 1993  
ISSN: 0732-1813 JRNL CODE: ECR  
WORD COUNT: 7372

...TEXT: samples, new sample-selection criteria, improvements in noninterview imputations, and updates in automation procedures for **adjustments for quality change**. Prior to 1978, the residential rent index was **calculated** by comparing the current month's rents with earlier rents over **different** time periods. **Quality** adjustments have always been made.

For owners' equivalent rent, the answers to the survey for...

**12/3, K/14 (Item 14 from file: 15)**

DI ALCO (R) File 15: ABI/Inform (R)

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00641926 92-56866

**A Market Approach to Rationalizing and Valuing Goodwill**

Schofield, George M  
Corporate Controller v5n1 PP: 51-54 Sep/Oct 1992  
ISSN: 0899-0174 JRNL CODE: COP  
WORD COUNT: 1478

...ABSTRACT: expenses. This method of rationalizing and valuing goodwill from a marketing viewpoint is a modified **historical cost** approach. It could be converted to a replacement cost approach by incorporating an inflationary **adjustment** factor or through a present-**value calculation**. **Another** approach to valuing goodwill from a marketing viewpoint is to estimate the premium brand's...

**12/3, K/15 (Item 1 from file: 16)**

DI ALCO (R) File 16: Gale Group PROMT (R)

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06989044 Supplier Number: 59113592 (USE FORMAT 7 FOR FULLTEXT)  
**Kinetics Asset Management Tops Strategic Insight List of Fastest Growing Asset Management Firms; Kinetics' Assets Increase More Than 5,000% in 1999.**

PR Newswire, p9481  
Feb 1, 2000  
Language: English Record Type: Fulltext  
Document Type: Newswire; Trade  
Word Count: 702

... average of 4,000 visitors each day, with hits from individuals and institutions in 63 **different** countries.  
Performance **data** quoted represents **past** performance and does not guarantee future results. The Internet Fund inception date: 10/21/96.  
**Figures** include **changes** in principal value, reinvested dividends and capital gain distributions. Investment return and principal value will...

**12/3, K/16 (Item 2 from file: 16)**

DI ALCO (R) File 16: Gale Group PROMT (R)

(c) 2009 Gale/Cengage. All rts. reserv.

05432419 Supplier Number: 48238228 (USE FORMAT 7 FOR FULLTEXT)  
**Compuware Corporation Reports Record Net Income Growth During Third Quarter**  
PR Newswire, p0120DETU022

Jan 20, 1998  
Language: English Record Type: Fulltext  
Document Type: News wire; Trade  
Word Count: 1197

... fiscal year. Net income for the quarter increased 76.4 percent to \$56.9 million **before merger-related costs** of \$3.6 million associated with the merger of NuMega Technologies, Inc. from \$32.2 million in the same quarter last year. Inclusive of merger-**related costs**, net income **increased** 68.9 percent to \$54.5 million. Before special charges, earnings per share (basic **computation**) were \$.32 versus \$.19 in the same quarter last year, based upon 178.5 million...

**12/3, K/17 (Item 3 from file: 16)**  
DI ALCO (R) File 16: Gale Group PROMT(R)  
(c) 2009 Gale/Cengage. All rts. reserv.

05315134 Supplier Number: 48090187 (USE FORMAT 7 FOR FULLTEXT)

**When The Target Is Your Client's Business**

Currie, Phillip M

Financial Planning, p164

Nov, 1997

Language: English Record Type: Fulltext

Document Type: Magazine/Journal; Trade

Word Count: 1615

... how to determine the value of their business. The first place they look to determine **value is past data**, but that's only one part of the **equation**. **Value is different to different** buyers. A strategic buyer may be acquiring a new customer base, patents or applied technologies...

**12/3, K/18 (Item 1 from file: 148)**  
DI ALCO (R) File 148: Gale Group Trade & Industry DB  
(c) 2009 Gale/Cengage. All rts. reserv.

12358358 SUPPLIER NUMBER: 62290093 (USE FORMAT 7 OR 9 FOR FULL TEXT)  
**Accounting for Subsoil Mineral Resources. (Statistical Data Included)**

Survey of Current Business, 80, 2, 24

Feb, 2000

DOCUMENT TYPE: Statistical Data Included ISSN: 0039-6222

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 19070 LINE COUNT: 01557

... The numerical values in this box apply to 1987. As explained in the text, slightly **different values** will apply for **different** years.

Replacement **Cost**

The fourth method of **calculating** the value of the mineral stock is used only for oil and gas reserves. Despite its name, this approach is similar to the NPV method, not to the replacement **cost** method described **earlier**. It adopts the approach of Adelman (1990), who calculates the present value of an oil...

**12/3, K/19 (Item 2 from file: 148)**  
DI ALCO (R) File 148: Gale Group Trade & Industry DB  
(c) 2009 Gale/Cengage. All rts. reserv.

11590107 SUPPLIER NUMBER: 55905536 (USE FORMAT 7 OR 9 FOR FULL TEXT)

**The shareholder's perspective. (Risk, Capital, and Value Measurement in Financial Institutions, part 2)**

Drzik, John; Nakada, Peter; Schuermann, Til

Journal of Lending & Credit Risk Management, 81, 3, 64(6)

Nov, 1998

ISSN: 1088-7261

LANGUAGE: English

RECORD TYPE: Fulltext; Abstract

WORD COUNT: 2439 LINE COUNT: 00203

... based on their marginal value created per unit of the scarce

resource.

#### Applications of Shareholder Value Metrics

The prior section described the basis for calculating three different value quantities - intrinsic value (IV), annual value-added (AVA), and shareholder value-added (SVA). This section...

#### 12/3, K/20 (Item 3 from file: 148)

DI ALCOG R) File 148: Gale Group Trade & Industry DB  
(c) 2009 Gale/Cengage. All rts. reserv.

09009674 SUPPLIER NUMBER: 18685745 (USE FORMAT 7 OR 9 FOR FULL TEXT)

The value of diversification during the conglomerate merger wave.

Servaes, Henri

Journal of Finance, v51, n4, p1201(25)

Sep, 1996

ISSN: 0022-1082 LANGUAGE: English

RECORD TYPE: Fulltext; Abstract

WORD COUNT: 10143 LINE COUNT: 00888

... and use these dummies as explanatory variables in several cross-sectional regressions, where the different valuation measures discussed previously are the dependent variables. In addition, I include the control variables (total assets and a dividend dummy), as specified in equation (1).

The results using the primary industry-adjusted Q ratio as the dependent variable are reported in Table VIII. The inferences are similar when...

#### 12/3, K/21 (Item 4 from file: 148)

DI ALCOG R) File 148: Gale Group Trade & Industry DB  
(c) 2009 Gale/Cengage. All rts. reserv.

08863770 SUPPLIER NUMBER: 18441720  
Non-fundamental speculation. (includes appendix)

Madrigal, Vicente

Journal of Finance, v51, n2, p553(26)

June, 1996

ISSN: 0022-1082 LANGUAGE: English

RECORD TYPE: Fulltext; Abstract

WORD COUNT: 9193 LINE COUNT: 00780

... with herding in general and with institutional investors in particular.

The trade of the speculator, equation (10), is positively correlated with the previous period's price change:

(Mathematical Expression Omitted)

since  $(\beta) - ((\lambda).sub.1)$  (greater than) 0. Thus, speculators' trades appear...

#### 12/3, K/22 (Item 5 from file: 148)

DI ALCOG R) File 148: Gale Group Trade & Industry DB  
(c) 2009 Gale/Cengage. All rts. reserv.

08863765 SUPPLIER NUMBER: 18441715

On the predictability of stock returns: an asset-allocation perspective.  
(includes appendix)

Kandel, Shmuel; Stanbaugh, Robert F.

Journal of Finance, v51, n2, p385(40)

June, 1996

ISSN: 0022-1082 LANGUAGE: English

RECORD TYPE: Fulltext; Abstract

WORD COUNT: 16324 LINE COUNT: 01336

... in Figure 3, however, exhibit much less variation across N than do the priors in Figure 2. In fact, it appears that the objective of increasing  $(T.sub.0)$  with N so as to obtain similar priors across different values of N is accomplished reasonably well by holding constant the number of data entries per parameter.

As explained earlier, in constructing each frequency distribution of

(Mathematical Expression Omitted), the total number of draws of...

**12/3, K/23 (Item 6 from file: 148)**

DI ALCQ R) File 148: Gale Group Trade & Industry DB

(c) 2009 Gale/Cengage. All rts. reserv.

04167263 SUPPLIER NUMBER: 07977308 (USE FORMAT 7 OR 9 FOR FULL TEXT)

**The global analytics guide for the harder and smarter trader. (EURQ ec)**

Ioannou, Lori

Eur money, p90(5)

Nov, 1989

ISSN: 0014-2433

WORD COUNT: 3390

LANGUAGE: ENGLISH

LINE COUNT: 00276

RECORD TYPE: FULLTEXT; ABSTRACT

... rates to principal-repayment rates to the future effect of a derivative. The Bloomberg can **calculate** what a bond would be worth if any of these factors **change**. It also compares the **values** of **different** securities and shows **historical data**. It allows a user to evaluate a whole portfolio under different interest rate and risk...

**12/3, K/24 (Item 7 from file: 148)**

DI ALCQ R) File 148: Gale Group Trade & Industry DB

(c) 2009 Gale/Cengage. All rts. reserv.

04146167 SUPPLIER NUMBER: 08130397 (USE FORMAT 7 OR 9 FOR FULL TEXT)

**Act now to maximize Medicare payments for capital.**

Mair, Robert A.; Benton, Thomas H.; Hamilton, Michael S.

Healthcare Financial Management, v43, n11, p28(6)

Nov, 1989

ISSN: 0735-0732

WORD COUNT: 2876

LANGUAGE: ENGLISH

LINE COUNT: 00245

RECORD TYPE: FULLTEXT

... now, Congress is toying with the update factor for FY90. Whenever and wherever the final **figure** is set, analysts expect it will be considerably less than actual **increases** in hospital operating **costs**.

**History** and outlook

Since PPS began, various prospective capital payment methods have been proposed, creating considerable controversy about whether and how to include capital **costs** within diagnosis **related** group (DRG) rates. So far, one of the most significant changes to Medicare capital cost...

**12/3, K/25 (Item 1 from file: 268)**

DI ALCQ R) File 268: Banking Info Source

(c) 2009 ProQuest Info&Learning. All rts. reserv.

00348978 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Risk, capital, and value measurement in financial institutions: Part II:**

**The shareholder's perspective**

Drzik, John; Nakada, Peter; Schuermann, Til

Journal of Lending & Credit Risk Management, v81, n3, p64-69, Nov 1998

DOCUMENT TYPE: Journal Article LANGUAGE: English RECORD TYPE: Abstract

Fulltext

WORD COUNT: 02273

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

... based on their marginal value created per unit of the scarce resource.

Applications of Shareholder **Value Metrics**

The **prior** section described the basis for **calculating** three **different value** quantities-intrinsic value (IV), annual value-added (AVA), and shareholder value-added (SVA). This section describes...

**12/3, K/26 (Item 1 from file: 813)**

1411132 CHW007  
LG&E Energy Reports 1998 Earnings

DATE: January 27, 1999 09:09 EST WORD COUNT: 1,429

...17) (0.19)

Loss on disposal of discontinued

operations -- -- (1.73) --

Cumulative effect of accounting

change

Total \$0.16 \$0.37 (\$0.73) \$1.41 -- -- (0.06) --

Cal culation of Earnings Before  
Merger - Related Costs and Certain One-Time Charges

Income from  
continuing operations \$20,791 \$55,857 \$160,271...

~~ Non-Patent Literature: Non-Full Text  
Dialog files: 2, 35, 65, 99, 139, 256, 474, 475, 583

- File 2: INSPEC 1998-2009/Nov W5  
(c) 2009 Institution of Electrical Engineers  
File 35: Dissertation Abs Online 1861-2008/Nov  
(c) 2008 ProQuest Info&Learning  
File 65: Inspec Conferences 1993-2009/Jan 15  
(c) 2009 BLDSC all rts. reserv.  
File 99: Wilson Appl. Sci & Tech Abs 1983-2009/Dec  
(c) 2009 The HWWilson Co.  
File 139: EconLit 1969-2008/Dec  
(c) 2008 American Economic Association  
File 256: TechnoSource 82-2009/Dec  
(c) 2009 Info. Sources Inc  
File 474: New York Times Abs 1969-2009/Jan 15  
(c) 2009 The New York Times  
File 475: Wall Street Journal Abs 1973-2009/Jan 16  
(c) 2009 The New York Times  
File 583: Gale Group Globalbase(TM) 1986-2002/Dec 13  
(c) 2002 Gale/Cengage

- | Set | Items | Description  |
|-----|-------|--|
| S1  | 24918 | (ASSET OR ASSETS OR EQUI TIES OR SECURI TIES OR I NVESTMENT OR I NVESTMENTS) (4N) (VALUE? ? OR VALU I NG OR VALUATI ON OR WORTH OR EVALUAT??? OR APPRAI S??? OR ASSESS??? OR CRIT I Q???)  |
| S2  | 18408 | VARIABLE? ? OR VALUE? ? OR VALUATI ON OR PRI CE? ? OR COST OR COSTS OR STATI STI C? ? OR STATS OR FACTS OR PARAMETER? ? OR A TTRI BUTE? ? OR FACTOR? ? OR CHARACTERI STI C? ? OR CRIT ERI A OR - QUALI T??? OR STOCHASTI C? OR METRI CS OR DATA  |
| S3  | 3958  | H I STORY OR H I STORI CAL OR PREVI OUS?? OR PRI OR OR PAST OR EA R L I E R OR BEFORE OR BEFOREHAND OR PRECED??? OR PRECEED??? OR A N T E C E D E N T  |
| S4  | 6082  | EXOGENOUS??? OR EXTERNAL?? OR OUTS I D E OR SEPARATE?? OR ADD- I T I O N?? OR ANOTHER OR ALTERNATE?? OR ALTERNATI VE?? OR SUPPLEM E N T A?? OR D I F F E R E N T OR SEPARATE OR RELATED OR UNRELATED OR A S S O C I A T E D OR ( T H I R D OR 3 R D ) ( ) P A R T Y                          |
| S5  | 8063  | C H A N G E?? OR V A R Y?? OR D E V I A T?? OR D I F F E R?? OR D I F F E R E N C ? ? OR D I F F E R E N T?? OR C O R R E C T?? OR M O D I F ? ? OR M O D I F Y?? OR - R E V I S E? ? OR R E V I S I O N? ? OR A D J U S T?? OR A D J U S T M E N T? ? OR I N C R E A S?? OR D E C R E A S?? |
| S6  | 2345  | F O R M U L A?? OR F O R M U L A I C A L L Y OR F O R M U L I ? OR E Q U A T?? OR E Q U A T I O N? ? OR A L G O R I T H M? ? OR C A L C U L A T?? OR F I G U R?? OR C O M P U  |

TE OR COMPUTED OR COMPUTES OR COMPUTING OR COMPUTATION??

S7	763	S2(6N) S3
S8	1783	S2(6N) S4
S9	664	S5(20N) S6
S10	14	S7 AND S8 AND S9
S11	9	S10 NOT PY-2000
S12	9	RD (unique items)

12/3, K/1 (Item 1 from file: 35)  
 DI ALCO (R) File 35: Dissertation Abs Online  
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01806968 ORDER NO: AADAA-19936204  
**Investment appraisal on management strategies for addressing uncertainties in power supply in the context of Nepalese manufacturing enterprises**  
 Author: Jyoti, Roop  
 Degree: Ph. D.  
 Year: 1999  
 Corporate Source/Institution: Harvard University (0084)  
 Source: VOLUME 60/ 07- A OF DISSERTATION ABSTRACTS INTERNATIONAL.  
 PAGE 2574. 399 PAGES  
 ISBN: 0-599-37346-6

...than that of a utility. Case studies of three manufacturing enterprises in Nepal are used. **Different** methods of evaluating the power outage **costs** are discussed and the contribution method is selected as the suitable one since it captures...

...failures, which take place without notice and (ii) load shedding, which is undertaken with **prior** announcement. To measure the **cost** of power outages, first, the contribution values are computed. Then, relationships between the duration of...  
 ...substation is a unique option emerging from the analysis in the dissertation. After identifying and **computing** the costs and the benefits, cash flow statements are prepared. Net present values and internal rates of return are **calculated**. **Different** options are found feasible for **different** enterprises. Sensitive analyses are performed and several kinds of break-even values for power outage levels are **calculated** which justify or continue to justify the investments. Policy implications of the analysis in the...

...Opportunity cost of power supply for outage prevention, opportunity cost of uninterrupted power supply and **differential** cost of self-generation are **calculated**. Various aspects of the captive substation are discussed. Privatization of the substation as another option...

12/3, K/2 (Item 2 from file: 35)  
 DI ALCO (R) File 35: Dissertation Abs Online  
 (c) 2008 ProQuest Info&Learning. All rts. reserv.

01627736 ORDER NO: AAD98-22148  
**THE USE OF PRICING FRONTIER TO ANALYZE CROSS-SECTIONAL EQUITY VALUES AND RETURNS (INVESTMENT, MUTUAL FUNDS, STOCK MARKET, FINANCIAL ENGINEERING, MANAGEMENT SCIENCE)**  
 Author: HE, JUNRU  
 Degree: PH. D.  
 Year: 1998  
 Corporate Source/Institution: STATE UNIVERSITY OF NEW YORK AT BUFFALO (0656)  
 Source: VOLUME 59/ 01- A OF DISSERTATION ABSTRACTS INTERNATIONAL.  
 PAGE 263. 130 PAGES

...measure of the relative price level of securities, which is called the pricing score. In **previous** studies, the simple ratios such as **price** to book ratio and price to earning ratio are used to find the abnormal stock...

...pricing frontier model explicitly includes risk and cash flow factors.



The pricing scores that were **calculated** from the frontier model for **different** stocks should be close to each other if the stock market is of a strong...  
...Stock Exchange and American Stock Exchange in our empirical test. The empirical results of four **different** methods, econometric **stochastic** frontier model, ordinary least square model (OLS), data envelopment analysis (DEA) models and artificial neural...

12/3, K/3 (Item 3 from file: 35)  
DIALOG File 35: Dissertation Abs Online  
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0132358 ORDER NO: AAD94-03764  
**THE RELEVANCE OF REPLACEMENT COST ASSET INFORMATION TO FIRM VALUATION (ASSET CHANGES, TOBIN'S Q)**  
Author: PARK, CHANGHUN (PETER)  
Degree: PH.D.  
Year: 1993  
Corporate Source/Institution: PURDUE UNIVERSITY (0183)  
Source: VOLUME 54/09- A OF DISSERTATION ABSTRACTS INTERNATIONAL.  
PAGE 3504. 98 PAGES

...as the "abilities" to translate: the change in assets into the future net cash flows **vary** across firms.  
Tobin's q theory of investment provides a framework in which one can **formulate** the relation between asset **changes**, relative future cash flow prospects, and stock returns. Tobin's q theory implies the hypothesis that the extent to which replacement **cost** asset changes are **associated** with stock returns varies positively with Tobin's (marginal) q, where Tobin's q indicates...

...with the hypothesis. Empirical evidence without control variables supports the hypothesis. When firm size and **historical cost** income are controlled for, empirical evidence is still consistent with the hypothesis. When **historical cost** asset change is also controlled for, although multicollinearity is suspected to dampen the significance of...

12/3, K/4 (Item 4 from file: 35)  
DIALOG File 35: Dissertation Abs Online  
(c) 2008 ProQuest Info&Learning. All rts. reserv.

01309616 ORDER NO: AAD93-26459  
**ASPECTS OF THE EFFICIENT RECOVERY OF FIXED COSTS: A COLLECTION OF ESSAYS (INCOMPLETE CONTRACTS, FINANCIAL AID)**  
Author: EDLIN, AARON S.  
Degree: PH.D.  
Year: 1993  
Corporate Source/Institution: STANFORD UNIVERSITY (0212)  
Source: VOLUME 54/05- A OF DISSERTATION ABSTRACTS INTERNATIONAL.  
PAGE 1904. 208 PAGES

...returns.  
Chapter 1 considers bilateral contracting and relationship-specific investment. When an investment has little **value outside** some trading relationship, without adequate contractual protection, an investing firm may be held up during...

...protection will be adequate to avoid such problems?  
Chapter 2 investigates a perfectly discriminating monopolist. **Past** arguments that a perfect **price** discriminator causes no inefficiencies have been partial equilibrium in character. It is explained that these...  
...valuation of a bundle of goods. These concepts, which depend upon global rather than local **characteristics** of preferences and technologies, are **related** to more standard differential notions; they are critical when firms compete for surplus with nonlinear...

...the "Congressional Methodology" for determining the financial need of

families sending children to college. This formula measures parents' present ability to pay for college, and correspondingly determined need, the difference between the costs of college and this ability to pay. At schools which meet all...

12/3, K/5 (Item 5 from file: 35)

DI ALCOG R/ File 35: Dissertation Abs Online

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0981330 ORDER NO: AAD88-03376

ASSET ALLOCATION CONSIDERATIONS FOR FINANCIAL AND REAL ASSETS: THE CASE OF SOUTHERN PINE TIMBER

Author: DENSON, GHAD HOYT

Degree: D. B.

Year: 1987

Corporate Source/Institution: LOUISIANA TECH UNIVERSITY (0109)

Source: VOLUME 48/12-A OF DISSERTATION ABSTRACTS INTERNATIONAL.

PAGE 3166. 286 PAGES

...pine timberlands has been recognized by numerous institutional investors. However, incomplete and sketchy information concerning historical return, risk, and diversification characteristics of pine timberland investments may inhibit a greater flow of institutional funds into this investment...

...1966 through 1986. The sensitivity of pine timber returns to alternative forest management practices is calculated. These management considerations include planting densities, thinning and rotation length decisions, different site indexes, and costs of various timber stand improvements. The impact of the reinvestment decision on pine timber returns...

12/3, K/6 (Item 6 from file: 35)

DI ALCOG R/ File 35: Dissertation Abs Online

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822812 ORDER NO: AAD83-22731

AN ECONOMETRIC ANALYSIS OF U.S. FARMLAND PRICES, 1941 TO 1980 (UNITED STATES)

Author: DUNN, DANIEL LEE

Degree: PH.D.

Year: 1983

Corporate Source/Institution: UNIVERSITY OF NEW HAMPSHIRE (0141)

Source: VOLUME 44/06-A OF DISSERTATION ABSTRACTS INTERNATIONAL.

PAGE 1866. 139 PAGES

...farm financial institutions from increased leveraging of farm loans may all result from increasing farmland values.

Previous research on farmland prices suffers from a number of deficiencies, including: (1) the lack of stability of models; (2)...

...purpose of this study was to overcome the problems of earlier analyses. Explicitly, a three equation model of farmland prices was developed. Hypotheses of different factors influencing farmland prices over the period 1941 to 1980 were tested and significant factors identified. Farmland price forecasts...

^ 12/3, K/7 (Item 7 from file: 35)

DI ALCOG R/ File 35: Dissertation Abs Online

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695529 ORDER NO: AAD80-22943

MEAN-VARIANCE APPROXIMATIONS TO THE GROWTH-OPTIMAL DECISION RULE: AN EMPIRICAL INVESTIGATION

Author: PULLEY, LAWRENCE BUTT

Degree: PH.D.

Year: 1980

Corporate Source/Institution: UNIVERSITY OF VIRGINIA (0246)

Source: VOLUME 41/04-A OF DISSERTATION ABSTRACTS INTERNATIONAL.  
PAGE 1703. 278 PAGES

...greater than or equal to zero and sum to one. The portfolio simulations using the **historical data** were performed (a) for **different** holding periods (monthly and semiannual) and (b) for **different** ratios of invested wealth to total wealth. The optimal portfolios were also **computed** assuming that investors base their expectations on normal, lognormal, and **t distributions** with **parameters** determined from **historical data**. In all cases the mean-variance approximations were very good; being closer for monthly rather...

12/3, K/8 (Item 8 from file: 35)

DI ALCO R) File 35: Dissertation Abs Online

(c) 2008 ProQuest Info&Learning. All rts. reserv.

689856 ORDER NO: AAD80-16960

**TITLE: THE FORWARD MARKET FOR LOANABLE FUNDS AT LIFE INSURANCE COMPANIES**

Author: HORAN, LAWRENCE JAMES

Degree: PH. D.

Year: 1980

Corporate Source/Institution: COLUMBIA UNIVERSITY (0054)

Source: VOLUME 41/02-A OF DISSERTATION ABSTRACTS INTERNATIONAL.  
PAGE 746. 195 PAGES

...a stock adjustment process of actual to desired stocks for each type of investment. In **addition** expectational **variables** were approximated by "backward looking" lag structures on **past values** of the relevant decision **variables** such as rates of return. The result was a series of models which depicted life...

...expectations are derived from the yield curve and other expectational variables are derived from "forecasting" **equations**. The result is a model which depicts life insurance companies as **adjusting** their investment activity quickly to **changes** in expectations.

A theoretical analysis of the demand for and supply of forward commitments is...

12/3, K/9 (Item 1 from file: 139)

DI ALCO R) File 139: EconLit

(c) 2008 American Economic Association. All rts. reserv.

724593

**TITLE: Management Compensation and the Performance of Mutual Funds**

AUTHOR(S): Berkowitz, Michael K.; Kotowitz, Yehuda

AUTHOR(S) AFFILIATION: Unlisted; Unlisted

PUBLICATION INFORMATION: University of Toronto, Department of Economics,

Working Papers

PUBLICATION DATE: 2000

LANGUAGE: English

AVAILABILITY:

<http://www.chass.utoronto.ca/ecipa/archi ve/UT-ECIPA-BERK-97-01.pdf>

DOCUMENT TYPE: Working Paper

ABSTRACT INDICATOR: Abstract

... ABSTRACT: 1993 period. From among the performance measures for which investors have the necessary information to **compute**, we find that the Jensen measure best explains the **change** in market shares over time. It is found, however, that investors actually value the systematic...

... components of performance (risk and return) than are investors in no-load funds. Investors, moreover, **value** recent **past** performance differently for funds with **different attributes**. An important result of the paper relating to the incentives provided with the widely

used...

~~ Patent Literature:

Dialog files: 347, 348, 349, 350

File 347: JAPI O Dec 1976-2008/ Aug(Updated 081208)

(c) 2008 JPO & JAPI O

File 348: EUROPEAN PATENTS 1978-200903

(c) 2009 European Patent Office

File 349: PCT FULLTEXT 1979-2008/ UB=20090101| UT=20081225

(c) 2009 WPO/Thomson

File 350: Derwent WPIX 1963-2008/ UD=200902

(c) 2009 Thomson Reuters

Set	Items	Description
S1	4355	(ASSET OR ASSETS OR EQUITIES OR SECURITIES OR INVESTMENTS OR INVESTMENTS) (4N) (VALUE? ? OR VALUING OR VALUATION OR WORTH OR EVALUAT??? OR APPRAIS??? OR ASSESS??? OR CRITIC???)
S2	4283	VARIABLE? ? OR VALUE? ? OR VALUATION OR PRICE? ? OR COST OR COSTS OR STATISTICS? ? OR STATS OR FACTS OR PARAMETER? ? OR ATTRIBUTE? ? OR FACTOR? ? OR CHARACTERISTICS? ? OR CRITERIA OR QUALITY??? OR STOCHASTIC? ? OR METRICS OR DATA
S3	2810	HISTORY OR HISTORICAL OR PREVIOUS? ? OR PRIOR OR PAST OR EARLIER OR BEFORE OR BEFOREHAND OR PRECED??? OR PRECEED??? OR ANTECEDENT
S4	3544	EXOGENOUS? ? OR EXTERNAL? ? OR OUTSIDE OR SEPARATE? ? OR ADDITION? ? OR ANOTHER OR ALTERNATE? ? OR ALTERNATIVE? ? OR SUPPLEMENTA??? OR DIFFERENT OR SEPARATE OR RELATED OR UNRELATED OR ASSOCIATED OR (THIRD OR 3RD) PARTY
S5	3393	CHANGE? ? OR VARY??? OR DEVIATE??? OR DIFFER? ? OR DIFFERENCE? ? OR DIFFERENCE??? OR CORRECT??? OR MODIFY? ? OR MODIFY??? OR REVERSE? ? OR REVISION? ? OR ADJUST??? OR ADJUSTMENT? ? OR INCREASES??? OR DECREASES???
S6	3646	FORMULA??? OR FORMULAI CALLY OR FORMULA? ? OR EQUAT??? OR EQUATION? ? OR ALGEBRA? ? OR CALCULAT??? OR FIGURE??? OR COMPUTE OR COMPUTED OR COMPUTES OR COMPUTING OR COMPUTATION?
S7	1546	S2(3N) S3
S8	2560	S2(2N) S4
S9	1508	S5(8N) S6
S10	25	S7(6N) S8(6N) S9

10/3, K/1 (Item 1 from file: 348)

DI ALGOR File 348: EUROPEAN PATENTS

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01930027

**Secure transaction management**

**Verfahren und Vorrichtung zur gesicherten Transaktionsverwaltung**

**Procede et dispositif de gestion de transactions securisees**

PATENT ASSI GNEE:

Intertrust Technologies Corp., (2434323), 955 Stewart Drive, Sunnyvale, CA 94085, (US), (Applicant designated States: all)

INVENTOR:

Ginter, Karl L., 10404 43rd Avenue, Beltsville, MD 20705, (US)

Spahn, Francis J., 2410 Edwards Avenue, El Cerrito, CA 94530, (US)

Shear, Victor H., 5203 Battery Lane, Bethesda, MD 20814, (US)

Van We, David M., 51430 Williamette Street, 6, Eugene, OR 97401, (US)

LEGAL REPRESENTATIVE:

Beresford, Keith Denis Lewis (28273), BERESFORD & Co. 16 High Holborn, London WC1V 6BX, (GB)

PATENT (CC, No, Kind, Date): EP 1555591 A2 050720 (Basic)

EP 1555591 A3 051123

APPLICATI ON (CC, No, Date): EP 2005075672 960213;

PRI ORITY (CC, No, Date): US 388107 950213

DESIGNATED STATES: AT; BE; CH; DE; DK; ES; FR; GB; GR; IE; IT; LI; LU; MC;

NL; PT; SE

RELATED PARENT NUMBER(S) - PN (AN):

EP 861461 (EP 96922371)

INTERNATIONAL PATENT CLASS (V7): G06F-001/00; G06F-017/60

ABSTRACT WORD COUNT: 147

NOTE:

Figure number on first page: 23

LANGUAGE (Publication, Procedural, Application): English; English; English

FULLTEXT AVAILABILITY:

Available Text Language Update Word Count

CLAIMS A (English) 200529 1002

SPEC A (English) 200529 194028

Total word count - document A 195030

Total word count - document B 0

Total word count - documents A + B 195030

... SPECIFICATION that event, a VDE SPU would encrypt data that results from a secure VDE execution before such data was stored in external memory.

Summary of Some Important Features Provided by VDE in Accordance...

10/3, K/2 (Item 2 from file: 348)

DI ALCO R) File 348: EUROPEAN PATENTS

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01587493

Business performance index processing system

Verarbeitungssystem für Geschäftsleistungsindex

Système de traitement de l'indice de performance d'entreprise

PATENT ASSIGNEE:

Hitachi, Ltd., (204145), 6 Kanda Surugadai 4-chome, Chi yoda-ku, Tokyo

101-8010, (JP), (Applicant designated States: all)

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LEGAL REPRESENTATIVE:

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München, (DE)

PATENT (OC, No, Kind, Date): EP 1316906 A1 030604 (Basic)

APPLICATION (OC, No, Date): EP 2002026692 021129;

PRIORITY (OC, No, Date): JP 2001369083 011203

DESIGNATED STATES: CH; DE; FR; GB; LI; NL

EXTENDED DESIGNATED STATES: AL; LT; LV; MK; RO; SI

INTERNATIONAL PATENT CLASS (V7): G06F-017/60

ABSTRACT WORD COUNT: 129

NOTE:

Figure number on first page: 1

LANGUAGE (Publication, Procedural, Application): English; English; English

FULLTEXT AVAILABILITY:

Available Text Language Update Word Count

CLAIMS A (English) 200323 1208

SPEC A (English) 200323 8606

Total word count - document A 9814

Total word count - document B 0

Total word count - documents A + B 9814

... SPECIFICATION to measure a marginal effect of an individual business in an enterprise-wide portfolio.

When calculating an Accumulated Discounted-MEVA, or AD-MEVA, an adjustment is made using a "risk-free rate" or the "weighted average cost of capital" before adding up MEVA values between different points in time. Specifically, where, t: period to be evaluated; s:

reference period for evaluation...

10/3, K/3 (Item 3 from file: 348)  
DI ALCO R) File 348: EUROPEAN PATENTS  
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01694763

**Digital signing method**  
**Verfahren zur digitalen Unterschrift**  
**Methode de signature digitale**  
PATENT ASSIGNEE:

Hitachi, Ltd., (204145), 6 Kanda Surugadai 4-chome, Chi yoda-ku, Tokyo  
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INVENTOR:

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LEGAL REPRESENTATIVE:

Strehl Schubel-Hopf & Partner (100941), Maximilianstrasse 54, 80538  
Munchen, (DE)

PATENT (CC, No, Kind, Date): EP 1094424 A2 010425 (Basic)  
EP 1094424 A3 040616

APPLICATI ON (CC, No, Date): EP 2000119185 000905;

PRI ORI TY (CC, No, Date): JP 99301216 991022; JP 200081712 000317

DESIGNATED STATES: DE; FR; GB

EXTENDED DESIGNATED STATES: AL; LT; LV; MK; RO; SI

INTERNATI ONAL PATENT CLASS (V7): G07F-007/10; H04L-009/32

ABSTRACT WORD COUNT: 88

NOTE:

Figure number on first page: 1

LANGUAGE (Publication, Procedural, Application): English; English; English  
FULLTEXT AVAILABILITY:

Available Text	Language	Update	Word Count
CLAIMS A	(English)	200117	2131
SPEC A	(English)	200117	12253
Total word count - document A			14384
Total word count - document B			0
Total word count - documents A + B			14384

... SPECIFICATI ON signature, are used as a three-component set. In addition,  
a hash function used to **compute** a hash **value** of **previous data** may  
be **different** from that used to **compute** a hash value of a message. It  
should be noted that when the **previous data** (PN-1) can be computed  
from other data, as in the case where h(MN...

10/3, K/4 (Item 1 from file: 349)  
DI ALCO R) File 349: PCT FULLTEXT  
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01694763

**DATA FUSION METHODS AND SYSTEMS**  
**PROCEDES ET SYSTEMES DE FUSION DE DONNEES**  
Patent Applicant/Assignee:

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200892149 A2 20080731 (WO 0892149)

Application: WO 2008US52195 20080128 (PCT/WO US2008052195)

Priority Application: US 2007886798 20070126; US 2007886801 20070126; US 2007887122 20070129; US 2007891507 20070224; US 2007891933 20070227; US 2007979305 20071011

Designated States:

(All protection types applied unless otherwise stated - for applications 2004+)

AE AG AL AM AO AT AU AZ BA BB BG BH BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM DO DZ EC EE EG ES FI GB GD GE GH GM GT HN HR HU ID IL IN IS JP KE KG KM KN KP KR KZ LA LC LK LR LS LT LU LY MA MD ME MG MK MN MW MX MY NZ NA NG NI NO NZ OM PG PH PL PT RO RS RU SC SD SE SG SK SL SM SV SY TJ TM TN TR TT TZ UA UG US UZ VC VN ZA ZM ZW

(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HR HU IE IS IT LT LU LV MC MT NL NO PL PT RO SE SI SK TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) BW GH GM KE LS MW MZ NA SD SL SZ TZ UG ZM ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 178894

Fulltext Availability:

Detailed Description

#### Detailed Description

... the prevalence of these types of inconsistencies, the SCI adjustment may be applied to sample data prior to measure calculation.

[00330] The adjustment may effectively force the sample data to reflect the sales in the census data, so...

10/3, K/5 (Item 2 from file: 349)

DI ALCO F/ File 349; PCT: FULLTEXT

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01547206

ESTERASES AND RELATED NUCLEIC ACIDS AND METHODS

# ESTERASES, ACI DES NUCLEIQUES APPARENTES ET PROCEDES ASSOCIES

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200792314 A2-A3 20070816 (WO 0792314)

Application: WO 2007US2904 20070202 (PCT/ WO US2007002904)

Priority Application: US 2006764486 20060202

Designated States:

(All protection types applied unless otherwise stated - for applications  
2004+)

AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM  
DZ EC EE EG ES FI GB GD GE GH GM GT HN HR HU ID IL IN IS JP KE KG KM KN  
KP KR KZ LA LC LK LR LS LT LU LV LY MA MD MG MK MN MW MX MY NZ NA NG NI  
NO NZ OM PG PH PL PT RO RS RU SC SD SE SG SK SL SM SV SY TJ TM TN TR TT  
TZ UA UG US UZ VC VN ZA ZM ZW  
(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IS IT LT LU LV MC NL  
PL PT RO SE SI SK TR  
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG  
(AP) BW GH GM KE LS MW MZ NA SD SL SZ TZ UG ZM ZW  
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 110659

Fulltext Availability:

Detailed Description

Detailed Description

... or stearic (C 18:0) acid, as discussed in Example 7 below.

Figure 18 shows data from alcoholysis reaction, as discussed in Example  
7, below.

Figure 19 illustrates data from the hydrolysis of trilaurin, as  
discussed in Example 7, below.

Figure 20 shows the...

10/3, K/6 (Item 3 from file: 349)

DI ALCO (R) File 349: PCT FULLTEXT

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01530734 \*\*Image available\*\*

SYSTEM AND METHOD FOR PROCESSING COMPOSITE TRADING ORDERS AT A CLIENT  
SYSTEM ET PROCEDE DE TRAITEMENT D'ORDRES COMMERCE AUX COMPOSETES AU NIVEAU  
D'UN CLIENT

Patent Applicant/Assignee:

ESPEED INC, 110 E. 59th Street, New York, NY 10022, US, US (Residence),  
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Patent Applicant/Inventor:

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200775620 A2-A3 20070705 (WO 0775620)

Application: WO 2006US48328 20061219 (PCT/ WO US2006048328)

Priority Application: US 2005753095 20051220; US 2006399112 20060405

Designated States:

(All protection types applied unless otherwise stated - for applications 2004+)

AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM  
DZ EC EE EG ES FI GB GD GE GH GM GT HN HR HU ID IL IN IS JP KE KG KM KN  
KP KR KZ LA LC LK LR LS LT LU LV LY MA MD MG MK MN MW MX MY NZ NA NG NI  
NO NZ OM PG PH PL PT RO RS RU SC SD SE SG SK SL SM SV SY TJ TN TR TT  
TZ UA UG US UZ VC VN ZA ZM ZW  
(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IS IT LT LU LV MC NL  
PL PT RO SE SI SK TR  
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG  
(AP) BW GH GM KE LS MW MZ NA SD SL SZ TZ UG ZM ZW  
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 11515

Fulltext Availability:

Detailed Description

Detailed Description

... market centers 18. Relationship data 62 may comprise data for  
comparing the current and/or historical performances, prices, yield  
spreads, and other characteristics of different trading products.  
Rules 64 may comprise appropriate mathematical formulas and/or  
computation models for particular types of trading products. In addition  
to ruleset 38...

10/3, K/7 (Item 4 from file: 349)

DI ALCO R) File 349: PCT FULLTEXT

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01530562 \*\*Image available\*\*

SYSTEM AND METHOD FOR PROCESSING COMPOSITE TRADING ORDERS

SYSTEME ET PROCEDURE DE TRAITEMENT D'ORDRES COMMERCE AUX COMPOSEES

Patent Applicant/Assignee:

ESPEED INC, 110 E. 59th Street, New York, NY 10022, US, US (Residence),  
US (Nationality), (For all designated states except: US)

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200775658 A2-A3 20070705 (WO 0775658)

Application: WO 2006US48391 20061219 (PCT/ WO US2006048391)

Priority Application: US 2005753095 20051220; US 2006399019 20060405

Designated States:

(All protection types applied unless otherwise stated - for applications 2004+)

AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM  
DZ EC EE EG ES FI GB GD GE GH GM GT HN HR HU ID IL IN IS JP KE KG KM KN  
KP KR KZ LA LC LK LR LS LT LU LV LY MA MD MG MK MN MW MX MY NZ NA NG NI  
NO NZ OM PG PH PL PT RO RS RU SC SD SE SG SK SL SM SV SY TJ TN TR TT  
TZ UA UG US UZ VC VN ZA ZM ZW

(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IS IT LT LU LV MC NL  
PL PT RO SE SI SK TR  
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG  
(AP) BW GH GM KE LS MW MZ NA SD SL SZ TZ UG ZM ZW  
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English  
Filing Language: English  
Fulltext Word Count: 12684

Fulltext Availability:  
Detailed Description

Detailed Description

... market centers 18. Relationship data 62 may comprise data for  
comparing the current and/or **historical** performances, **prices**, yield  
spreads, and other **characteristics** of **different** trading products.  
Rules 64 may comprise appropriate mathematical **formulas** and/or  
computation models for particular types of trading products. In addition  
to ruleset 38...

10/3/8 (Item 5 from file: 349)

DI ALCO R File 349: PCT FULLTEXT  
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01482280

**ENERGY AND CHEMICAL SPECIES UTILITY MANAGEMENT SYSTEM**  
**SYSTEME DE GESTI ON DE SERVICES, D'ESPECES CHIMIQUES ET D'ENERGIE**

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Patent Applicant/Inventor:

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Legal Representative:

KNOBLOCH Charles S et al (agent), ARINOLD & FERRERA, L.L.P., 2401 Fountain  
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Patent and Priority Information (Country, Number, Date):

Patent: WO 200728158 A2-A3 20070308 (WO 0728158)  
Application: WO 2006US34565 20060905 (PCT/WO US2006034565)  
Priority Application: US 2005714038 20050902

Designated States:

(All protection types applied unless otherwise stated - for applications  
2004+)

AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM  
DZ EC EE EG ES FI GB GD GE GH GM HN HR HU ID IL IN IS JP KE KG KM KN KP  
KR KZ LA LC LK LR LS LT LU LV LY MA MD MG MK MN MW MX MY NZ NA NG NI NO  
NZ OM PG PH PL PT RO RS RU SC SD SE SG SK SL SM SV SY TJ TM TN TR TT TZ  
UA UG US UZ VC VN ZA ZM ZW  
(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IS IT LT LU LV MC NL  
PL PT RO SE SI SK TR  
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG  
(AP) BW GH GM KE LS MW MZ NA SD SL SZ TZ UG ZM ZW  
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English  
Filing Language: English  
Fulltext Word Count: 175987

Fulltext Availability:  
Detailed Description

Detailed Description

... or energy requirements or small improvements in input costs, throughput or product yields can significantly **increase** the profitability of the plant and overall business.

Energy **Price** Volatility Energy **price** volatility is occurring across a variety of energy sources. In general, energy **price** volatility is increasing in the U.S. and likely to remain high. This volatility, if...

10/3, K/9 (Item 6 from file: 349)

DI ALCO R) File 349: PCT FULLTEXT

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01349883 \*\*Image available\*\*

**CATTLE MANAGEMENT SYSTEM AND METHOD**  
**SYSTEME ET PROCEDE DE GESTION DE BETAIL**

Patent Applicant/Assignee:

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CARLSCH Jim, 1129 Pearl Street, Denver, CO 80203, US, US (Residence), US (Nationality), (Designated only for: US)

Legal Representative:

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200631979 A2-A3 20060323 (WO 0631979)

Application: WO 2005US32964 20050914 (PCT/WO US2005032964)

Priority Application: US 2004609914 20040914

Designated States:

(All protection types applied unless otherwise stated - for applications 2004+)

AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM

DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KM KP KR KZ

LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NA NG NI NO NZ OM PG PH PL

PT RO RU SC SD SE SG SK SL SM SY TJ TM TN TR TT TZ UA UG US UZ VC VN YU

ZA ZM ZW

(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IS IT LT LU LV MC NL

PL PT RO SE SI SK TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) BW GH GM KE LS MW MZ NA SD SL SZ TZ UG ZM ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 20267

Fulltext Availability:

Detailed Description

Detailed Description

... processing of a specific animal;

Figure 39 illustrates a user screen for review of treatment **history** and for **data** entry of new treatment;

Figure 40 is a user screen for **modifying** treatment data or for entering **additional**

treatment **data** completed on a **prior** date;

Figure 41 illustrates a user screen where a user has selected from a treatment...

10/3, K/10 (Item 7 from file: 349)

DI ALCO R) File 349: PCT FULLTEXT

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01272830 \*\*Image available\*\*

**PORTFOLIO OPTIMIZATION  
OPTIMIZATION OF PORTFOLIO**

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Legal Representative:

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200579157 A2 20050901 (WO 0579157)

Application: WO 2005L209 20050220 (PCT/ WO IL05000209)

Priority Application: US 2004545506 20040219

Designated States:

(All protection types applied unless otherwise stated - for applications  
2004+)

AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM  
DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC  
LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NA NI NO NZ OM PG PH PL PT RO  
RU SC SD SE SG SK SL SY TJ TM TN TR TT TZ UA UG US UZ VC VN YU ZA ZM ZW  
(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IS IT LT LU MC NL PL  
PT RO SE SI SK TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG  
(AP) BW GH GM KE LS MW MZ NA SD SL SZ TZ UG ZM ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 8677

Fulltext Availability:

Detailed Description

Detailed Description

... example, we can consider the plentiful historical data for the Dow  
Jones Industrial Index. Taking historical weekly data for previous  
8-10 years, samples of 1000 data points from different starting  
points in time are used to calculate various percentile values from the  
sample for all points in the time range. Empirical examination...

10/3, K/11 (Item 8 from file: 349)

DI ALCOFF File 349: PCT FULLTEXT

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01177686 \*\*Image available\*\*

**METHOD AND SYSTEM OF CONFIGURING ELEMENTS OF A DISTRIBUTED COMPUTING SYSTEM  
FOR OPTIMIZED VALUE**

**PROCEDURE ET SYSTEME PERMETTANT DE CONFIGURER, POUR EN OPTIMISER LA VALEUR,  
LES ELEMENTS D'UN SYSTEME INFORMATIQUE DISTRIBUTIF**

Patent Applicant/Assignee:

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Inventor(s):

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Legal Representative:

GROLZ Edward W (agent), Scully, Scott, Murphy & Presser, 400 Garden City  
Plaza, Garden City, NY 11530, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200499986 A2-A3 20041118 (WO 0499986)

Application: WO 2003US23654 20030721 (PCT/ WO US03023654)

Priority Application: US 2003426989 20030430

Designated States:

(Protection type is "patent" unless otherwise stated - for applications

prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ  
EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR  
LS LT LU LV MA MD MG MK MN MW MX MZ NA NI NO NZ OM PH PL PT RO RU SC SD SE  
SG SK SL TJ TM TN TR TT TZ UA UG UZ VC VN YU ZA ZM ZW  
(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT RO SE  
SI SK TR  
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG  
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW  
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 9943

Fulltext Availability:

Detailed Description

Detailed Description

... Software components running on these systems, such as operating system and middleware, translate between a previously agreed, system-independent data representation and local data representations therefore enabling communication between the systems with very different characteristics.

Figure 2 depicts the information flow used in the system 200 and method of the present...

10/3, K/12 (Item 9 from file: 349)

DI ALCO R) File 349: PCT FULLTEXT

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01159690 \*\*Image available\*\*

SYSTEM AND METHOD USING TRADING VALUE FOR WEIGHTING INSTRUMENTS IN AN INDEX  
SYSTEME ET PROCEDE REPOSANT SUR L'UTILISATION DE VALEURS COMMERCIALES POUR  
PONDERER DES INSTRUMENTS DANS UN INDEX

Patent Applicant/Inventor:

HUANG Chi-h-Wei, 7 Marisol, Newport Coast, California 92657, US, US

(Residence), US (Nationality)

Legal Representative:

BERLINER Brian M (agent), O'NELVENY & MYERS LLP, 400 South Hope Street,

Los Angeles, CA 90071-2899, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200481724 A2-A3 20040923 (WO 0481724)

Application: WO 2004US6744 20040305 (PCT/WO US04006744)

Priority Application: US 2003385959 20030311

Designated States:

(All protection types applied unless otherwise stated - for applications 2004+)

AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM  
DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC  
LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NA NI NO NZ OM PG PH PL PT RO  
RU SC SD SE SG SK SL SY TJ TM TN TR TT TZ UA UG US UZ VC VN YU ZA ZM ZW  
(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PL PT RO  
SE SI SK TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) BW GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 7729

Fulltext Availability:

Detailed Description

Detailed Description

... the instruments to be added and removed, and the updated index values

- 15 Step 800: Calculate Index Value Before Change in Trading

Instrument And Freeze  
The Value  
Price for Volume of Shares Traded  
Stock Time...

10/3, K/13 (Item 10 from file: 349)

DI ALCOB File 349: PCT FULLTEXT

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01056423 \*\*Image available\*\*

DERIVATIVES HAVING DEMAND-BASED, ADJUSTABLE RETURNS, AND TRADING EXCHANGE THEREFOR

PRODUITS DERIVES PRESENTANT DES RENDEMENTS AJUSTABLES BASES SUR LA DEMANDE ET ECHANGES COMMERCIAUX ASSOCIES

Patent Applicant/Assignee:

LONGTUD INC, 650 Fifth Avenue, New York, NY 10019, US, US (Residence),  
US (Nationality)

Inventor(s):

LANGE Jeffrey, 3 East 84th Street, Apt. 3, New York, NY 10028, US,  
BARON Kenneth, 51 West 86th Street, Apt. 602, New York, NY 10024, US,

Legal Representative:

WEISS Charles A (et al) (agent), Kenyon & Kenyon, One Broadway, New York, NY 10004, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200385491 A2-A3 20031016 (WO 0385491)

Application: WO 2003US7990 20030313 (PCT/WO US03007990)

Priority Application: US 2002115505 20020402

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ  
EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR  
LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO RU SC SD SE SG  
SK SL TJ TM TN TR TT TZ UA UG UZ VC VN YU ZA ZM ZW

(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT RO SE  
SI SK TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(A) BM GE GM KE LS MW MZ SD SL SZ TZ UG ZM ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 136258

Fulltext Availability:

Detailed Description

Claims

Claim

... x with the specified limit order "price," which may be substituted for  
p in the preceding equation. In another preferred embodiment, an  
order containing iteratively revised y amounts, as "prices" change  
during the trading period are submitted. In another preferred embodiment  
...

10/3, K/14 (Item 11 from file: 349)

DI ALCOB File 349: PCT FULLTEXT

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01000057 \*\*Image available\*\*

SYSTEM AND METHOD FOR MEASURING PERFORMANCE OF TRADING INSTRUMENTS WITHIN A MARKET

SYSTEME ET PROCEDURE DE MESURE DE PERFORMANCE D'INSTRUMENTS DE NEGOCIATION DANS UN MARCHE

Patent Applicant/Assignee:

LEO CAPITAL MANAGEMENT INC, 610 Newport Center Drive, Suite 888, Newport Beach, CA 92660, US, US (Residence), US (Nationality)

Patent Applicant/Inventor:

HUANG Chih-Wei, 7 Marisol, Newport Coast, CA 92657, US, US (Residence),  
US (Nationality)

Legal Representative:

BERLINER Brian M (agent), O Melveny & Myers, 400 South Hope Street, Los  
Angeles, CA 90071-2899, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200330068 A1 20030410 (WO 0330068)

Application: WO 2002US31313 20021002 (PCT/WO US0231313)

Priority Application: US 2001970736 20011003

Designated States:

(Protection type is "patent" unless otherwise stated - for applications  
prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ  
EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR  
LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO RU SD SE SG SI  
SK SL TJ TM TN TR TT TZ UA UG UZ VC VN YU ZA ZM ZW  
(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR IE IT LU MC NL PT SE SK TR  
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG  
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW  
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 4710

Fulltext Availability:

Detailed Description

Detailed Description

... clear that, although the trading value 40 of this trading instrument  
is \$1 00 as previously calculated, different trading values  
pertaining to trades made by individual traders of this

10/3, K/15 (Item 12 from file: 349)

DI ALCO R/ File 349: PCT FULLTEXT

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00806392

TECHNOLOGY SHARING DURING ASSET MANAGEMENT AND ASSET TRACKING IN A  
NETWORK-BASED SUPPLY CHAIN ENVIRONMENT AND METHOD THEREOF

PARTAGE TECHNOLOGIQUE LORS DE LA GESTION ET DU SUIVI DU PARC INFORMATIQUE  
DANS UN ENVIRONNEMENT DU TYPE CHAÎNE D'APPROVISIONNEMENT RESEAUTÉE, ET  
PROCÉDÉ ASSOCIÉ

Patent Applicant/Assignee:

ACCUTURE LLP, 1661 Page Mill Road, Palo Alto, CA 94304, US, US

(Residence), US (Nationality)

Inventor(s):

M KURAK Michael G, 108 Englewood Blvd., Hamilton, NJ 08610, US,

Legal Representative:

HICKMAN Paul L (agent), Oppenheimer Wolff & Donnelly, LLP, 38th Floor,  
2029 Century Park East, Los Angeles, CA 90067-3024, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200139086 A2 20010531 (WO 0139086)

Application: WO 2000US32310 20001122 (PCT/WO US0032310)

Priority Application: US 99444653 19991122; US 99447623 19991122

Designated States:

(Protection type is "patent" unless otherwise stated - for applications  
prior to 2004)

AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ EE ES  
FI GB GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA  
MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ  
UA UG UZ VN YU ZW  
(EP) AT BE CH CY CZ DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR  
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG  
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW  
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 156214

Fulltext Availability:  
Detailed Description

Detailed Description

... history information and the order information as well as selecting one of the suppliers whose calculated total cost of previously received orders is within an order limit. Thus, exceeding the order limit previously set to...

10/3, K/16 (Item 13 from file: 349)

DI ALOC(R) File 349: PCT FULLTEXT  
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00806389

SCHEDULING AND PLANNING BEFORE AND PROACTIVE MANAGEMENT DURING MAINTENANCE AND SERVICE IN A NETWORK-BASED SUPPLY CHAIN ENVIRONMENT  
PROGRAMMATION ET PLANIFICATION ANTI-CRÊPE, ET GESTION PROACTIVE AU COURS DE LA MAINTENANCE ET DE L'ENTRETIEN D'UN ENVIRONNEMENT DU TYPE CHAÎNE D'APPROVISIONNEMENT RESEAUTÉE

Patent Applicant/Assignee:

ACCENTURE LLP, 1661 Page Mill Road, Palo Alto, CA 94304, US, US  
(Residence), US (Nationality)

Inventor(s):

M KURAK Michael G. 108 Englewood Boulevard, Hamilton, NJ 08610, US,

Legal Representative:

HICKMAN Paul L (agent), Oppenheimer Wolff & Donnelly, LLP, 38th Floor, 2029 Century Park East, Los Angeles, CA 90067-3024, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200139082 A2 20010531 (WO 0139082)

Application: WO 2000US32228 20001122 (PCT/ WO US0032228)

Priority Application: US 99447625 19991122; US 99444889 19991122

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GE GH GM

HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX

NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG UZ VN YU ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 152479

10/3, K/17 (Item 14 from file: 349)

DI ALOC(R) File 349: PCT FULLTEXT  
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00806382

METHOD FOR AFFORDING A MARKET SPACE INTERFACE BETWEEN A PLURALITY OF MANUFACTURERS AND SERVICE PROVIDERS AND INSTALLATION MANAGEMENT VIA A MARKET SPACE INTERFACE

PROCÉDÉ DE MISE À DISPOSITION D'UNE INTERFACE D'ESPACE DE MARCHÉ ENTRE UNE PLURALITÉ DE FABRICANTS ET DES FOURNISSEURS DE SERVICES ET GESTION D'UNE INSTALLATION VIA UNE INTERFACE D'ESPACE DE MARCHÉ

Patent Applicant/Assignee:

ACCENTURE LLP, 1661 Page Mill Road, Palo Alto, CA 94304, US, US  
(Residence), US (Nationality)

Inventor(s):

M KURAK Michael G. 108 Englewood Blvd., Hamilton, NJ 08610, US,

Legal Representative:

HICKMAN Paul L (et al) (agent), Oppenheimer Wolff & Donnelly LLP, 1400 Page Mill Road, Palo Alto, CA 94304, US,

Patent and Priority Information (Country, Number, Date):



Patent: WO 200139028 A2 20010531 (WO 0139028)  
Application: WO 2000US32308 20001122 (PCT/ WO US0032308)  
Priority Application: US 99444773 19991122; US 99444798 19991122

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE  
ES FI GB GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV  
MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT  
TZ UA UG UZ VN YU ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 170977

Fulltext Availability:

Detailed Description

Detailed Description

... Next, in step 2002, quality management network data is determined and, in step 2004, the **quality** management network **data** is generated. Such **quality** management network **data** may include constraint data, capacity data, service class quality data, service modification recommendations, additional capacity...

10/3, K/18 (Item 15 from file: 349)

DI ALOC R) File 349: PCT FULLTEXT

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00802534

ANY-TO-ANY COMPONENT COMPUTING SYSTEM

SYSTEME INFORMATIQUE A COMPOSANTS TOUTE CATEGORIE

Patent Applicant/Assignee:

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Patent Applicant/Inventor:

WARREN Peter, 1200 Mountain Creek Road, Suite 440, Chattanooga, TN 37405, US, GB (Residence), GB (Nationality), (Designated only for: US)

LOVE Steven, 1625 Starboard Drive, Hixson, TN 37343, US, US (Residence), US (Nationality), (Designated only for: US)

Legal Representative:

MEHRMAN Michael J (agent), Paper Mill Village, Building 23, 600 Village Trace, Suite 300, Marietta, GA 30067, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200135216 A2-A3 20010517 (WO 0135216)

Application: WO 2000US31231 20001113 (PCT/ WO US0031231)

Priority Application: US 99164884 19991112

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE  
ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT  
LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM  
TR TT TZ UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 275671

Fulltext Availability:

Detailed Description

## Claims

### Claim

... illustrating a process for responding to natural language commands in an Any-to-Any **computing** system that does not include a language processing system FIG 12 is a diagram illustrating...

10/3, K/19 (Item 16 from file: 349)

DI ALCO R) File 349: PCT FULLTEXT

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00784143

SYSTEM METHOD AND ARTICLE OF MANUFACTURE FOR LOAD BALANCING REQUESTS AMONG SERVERS

SYSTEME PROCEDE ET ARTICLE POUR EQUILIBREUR DE CHARGE DANS UN ENVIRONNEMENT DE STRUCTURES DE SERVICES

Patent Applicant/Assignee:

ACCENTURE LLP, 1661 Page Mill Road, Palo Alto, CA 94304, US, US

(Residence), US (Nationality)

Inventor(s):

BOWMAN AMIAH Mchel K, 6426 Peak Vista Circle, Colorado Springs, CO 80918 US,

Legal Representative:

HICKMAN Paul L (agent), Hickman Coleman & Hughes, LLP, P.O. Box 52037, Palo Alto, CA 94303-0746, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200116739 A2-A3 20010308 (WO 0116739)

Application: WO 2000US24236 20000831 (PCT/WO US0024236)

Priority Application: US 99387576 19990831

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE

ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KC LC LK LR LS LT

LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM

TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 150248

Fulltext Availability:

Detailed Description

### Detailed Description

... communicating via a Stream-Based Communication and using a shared generic format to relay the meta- **data** information;

Figure 107 illustrates an object-based system with a frequently **changing** object model

communicating via a Stream-Based Communication;

**Figure** 108 illustrates a stream-based message that contains both message data and descriptive meta-data...

10/3, K/20 (Item 17 from file: 349)

DI ALCO R) File 349: PCT FULLTEXT

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00784140

A SYSTEM METHOD AND ARTICLE OF MANUFACTURE FOR A GLOBALLY ADDRESSABLE INTERFACE IN A COMMUNICATION SERVICES PATTERNS ENVIRONMENT

SYSTEME PROCEDE ET ARTICLE DE FABRICATION S'APPLIQUANT DANS UN ENVIRONNEMENT DE STRUCTURE DE SERVICES DE COMMUNICATIONS VIA UNE

# INTERFACE ADRESSABLE GLOBALEMENT

Patent Applicant/Assignee:

ACCENTURE LLP, 1661 Page Mill Road, Palo Alto, CA 94304, US, US  
(Residence), US (Nationality)

Inventor(s):

BOWMAN AMUAH Michel K, 6426 Peak Vista Circle, Colorado Springs, CO 80918  
US,

Legal Representative:

HICKMAN Paul L (agent), Oppenheimer Wolff & Donnelly, LLP, 1400 Page Mill  
Road, Palo Alto, CA 94304, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200116735 A2-A3 20010308 (WO 0116735)

Application: WO 2000US24198 20000831 (PCT/WO US0024198)

Priority Application: US 99387214 19990831

Designated States:

(Protection type is "patent" unless otherwise stated - for applications  
prior to 2004)

AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CQ CZ DE DK DZ EE ES FI GB  
GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK  
MN MW MX NZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG UZ VN  
YU ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ OF OG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 150371

^ 10/3, K/21 (Item 18 from file: 349)

DI ALGO B) File 349: PCT FULLTEXT

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00563470 \*\*Image available\*\*

METHOD, SYSTEM AND COMPUTER PROGRAM FOR AUDITING FINANCIAL PLANS  
PROCEDURE, SYSTEME ET PROGRAMME INFORMATIQUE POUR LA REALISATION D'AUDITS DE  
PLANS FINANCIERS

Patent Applicant/Assignee:

LOEPEL David B,

Inventor(s):

LOEPEL David B,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200026843 A1 20000511 (WO 0026843)

Application: WO 99US26254 19991105 (PCT/WO US9926254)

Priority Application: US 98107245 19981105

Designated States:

(Protection type is "patent" unless otherwise stated - for applications  
prior to 2004)

AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CQ CZ DE DK DM EE ES FI GB GD  
GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG  
MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ  
VN YU ZA ZW GH GM KE LS MW SD SL SZ TZ UG ZW AM AZ BY KG KZ MD RU TJ TM  
AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF OG CI CM  
GA GN GW ML MR NE SN TD TG

Publication Language: English

Fulltext Word Count: 5163

Fulltext Availability:

Detailed Description

English Abstract

...performance in a predetermined initial value of investment over a  
selected time period is then calculated based on changes in value  
over a first historical data, separately for each asset allocation  
and tax status (126) to obtain a changed investment value. The...

Detailed Description

... The performance for the first selected time period is then calculated

based on the - 8 historical data , separately for each asset allocation and tax status, as shown by block 126. The performance may be **adjusted** either before the calculation or after the calculation by the investment assumptions. For currently-taxable investments, any gain is adjusted by deducting appropriate...

10/3, K/22 (Item 19 from file: 349)

DI ALCO (R) File 349: PCT FULLTEXT

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00497492 \*\*Image available\*\*

PRI CI NG MODULE FOR FI NANC IAL ADVI SORY SYSTEM

MODULE D'ETABLISSEMENT DE PRI X POUR SYSTEME DE CONSULTATI ON FI NANC IERE

Patent Applicant / Assignee:

FI NANC IAL ENG NES I NC,

BEKAERT Geert,

GRENADI ER Steven R,

JONES Christopher L,

SCOTT Jason S,

WATSON John G

Inventor(s):

BEKAERT Geert,

GRENADI ER Steven R,

JONES Christopher L,

SCOTT Jason S,

WATSON John G

Patent and Priority Information (Country, Number, Date):

Patent: WO 9928844 A1 19990610

Application: WO 98US19920 19980922 (PCT/ WO US9819920)

Priority Application: US 97982941 19971202

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DE DK DK EE EE ES

FI FI GB GD GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT LU

LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SK SL TJ TM TR TT

UA UG US UZ VN YU ZW ZH GM KE LS MW SD SZ UG ZW AM AZ BY KG KZ MD RU TJ

TM AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI

GM GA GN GW ML MR NE SN TD TG

Publication Language: English

Fulltext Word Count: 9238

Fulltext Availability:

Detailed Description

Detailed Description

... inflation along with the

constant term, the first-order autocorrelation for inflation and the standard deviation value are required for modeling inflation. Because

Equation 1 is a heteroskedastic stochastic process, it is related to

past values and kept within certain ranges such that the inflation

values do not get unreasonably high...

10/3, K/23 (Item 20 from file: 349)

DI ALCO (R) File 349: PCT FULLTEXT

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00332990 \*\*Image available\*\*

OBJECT ORIENTED DATABASE MANAGEMENT SYSTEM

SYSTEME DE GESTI ON DE BASE DE DONNEES ORIENTE OBJET

Patent Applicant / Assignee:

CADI S I NC,

KAVANAGH Thomas S,

BEALL Christopher W

HEINZ William C,

MOTYCKA John D,

PENDLETON Samuel S,

SMALLWOOD Thomas D,  
TERPENING Brooke E,  
TRAUT Kenneth A,

Inventor(s):

KAVANAGH Thomas S,  
BEALL Christopher W,  
HEINZ William C,  
MOTYCKA John D,  
PENDLETON Samuel S,  
SMALLWOOD Thomas D,  
TERPENING Brooke E,  
TRAUT Kenneth A,

Patent and Priority Information (Country, Number, Date):

Patent: WO 9615501 A1 19960523  
Application: WO 95US15028 19951113 (PCT/ WO US9515028)  
Priority Application: US 94339481 19941110; US 95527161 19950912

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AT AU BB BG BR BY CA CH CN CZ DE DK ES FI GB HU JP KP KR KZ LK LU LV MG  
MN MW NO NZ PL PT RO RU SD SE SK UA UZ VN AT BE CH DE DK ES FR GB GR IE  
IT LU MC NL PT SE BF BJ CF CG CI OM GA GN ML MR NE SN TD TG

Publication Language: English

Fulltext Word Count: 77639

Fulltext Availability:

Detailed Description

Detailed Description

... units.

Figure 47 depicts the data structures for a unit families.

Figure 48 shows the data structure for an enumerated derived unit.

Figure 49 depicts the data structure for an instance and

associated parameters. Figure 50 depicts the data structure for a parameter. Figure 51 is an example of a...

10/3, K/24 (Item 1 from file: 350)

DI ALCOFF File 350: Derwent WPI X

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0012826371 - Drawing available

WPI ACC NO: 2002-684193/200274

XRPX Acc No: N2002-540153

Open-end fund service provider incentivization method involves adjusting value of options, based on change in net asset value of open-end fund

Patent Assignee: CALVIN J N (CALV-I); DELOITTE & TOUCHE LLP (DELO-N)

Inventor: CALVIN J N

Patent Family (3 patents, 27 countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update
EP 1244044	A1	20020925	EP 2002252064	A	20020322	200274 B
GB 2373611	A	20020925	GB 20026840	A	20020322	200274 E
US 20020198804	A1	20021226	US 2001277842	P	20010322	200304 E
			US 2002103663	A	20020321	

Priority Applications (no., kind, date): US 2001277842 P 20010322; US 2002103663 A 20020321

Patent Details

Number Kind Lan Pg Dwg Filing Notes

EP 1244044 A1 EN 20 8

Regional Designated States, Original: AL AT BE CH CY DE DK ES FI FR GB GR IE IT LI LT LU LV MC MK NL PT RO SE SI TR

US 20020198804 A1 EN Related to Provisional US 2001277842

Original Publication Data by Authority

## Argentina

Assignee name & address:

### Original Abstracts:

...granted by an open-end fund to service providers, summarize the values of those options, calculate the change in values since the prior valuation, and calculate expenses associated with the options, and calculate the NAV of shares offered and/or redeemed by the granting open...

...granted by an open-end fund to service providers, summarize the values of those options, calculate the change in values since the prior valuation, and calculate expenses associated with the options, and calculate the NAV of shares offered and/or redeemed by the granting open-end fund.

### Claims:

^ 10/3, K/25 (Item 2 from file: 350)

DI ALCO (P) File 350: Derwent WPI X

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0010060203 - Drawing available

WPI ACC NO: 2000-365838/200031

Related WPI Acc No: 2002-206261; 2005-504851; 2006-754261

XRPX Acc No: N2000-273741

Financial plan evaluating method for individuals involves computing change in preset initial value of investment over preset time interval, based on change in value over specific historical period

Patent Assignee: FINANCEWARE INC (FINA-N); LOEPER D B (LOEP-I)

Inventor: LOEPER D B

Patent Family (9 patents, 87 countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update	
WO 2000026843	A1	20000511	WO 1999US26254	A	19991105	200031	B
AU 200016102	A	20000522	AU 200016102	A	19991105	200040	E
EP 1138002	A1	20011004	EP 1999958814	A	19991105	200158	E
			WO 1999US26254	A	19991105		
US 20020091604	A1	20020711	US 1999434645	A	19991105	200248	NCE
JP 2002529824	W	20020910	WO 1999US26254	A	19991105	200274	E
			JP 2000580149	A	19991105		
ZA 200103855	A	20021030	ZA 20013855	A	20010511	200282	E
MX 2001004662	A1	20020901	WO 1999US26254	A	19991105	200370	E
			MX 20014662	A	20010507		
NZ 511555	A	20040130	NZ 511555	A	19991105	200414	E
			WO 1999US26254	A	19991105		
AU 771672	B2	20040401	AU 200016102	A	19991105	200455	E

Priority Applications (no., kind, date): US 1998107245 P 19981105; US 1999434645 A 19991105

### Patent Details

Number	Kind	Lang	Pg	Dwg	Filing Notes
WO 2000026843	A1	EN	30	5	
National Designated States, Original: AE AL AM AT AU AZ BA BB BG BR BY CA					
CH CN CU CZ DE DK DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG					
KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE					
SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW					
Regional Designated States, Original: AT BE CH CY DE DK EA ES FI FR GB GH					
GM GR IE IT KE LS LU MC MW NL OA PT SD SE SL SZ TZ UG ZW					
AU 200016102	A	EN			Based on CPI patent WO 2000026843
EP 1138002	A1	EN			PCT Application WO 1999US26254
					Based on CPI patent WO 2000026843
Regional Designated States, Original: AT BE CH CY DE DK ES FI FR GB GR IE					
IT LI LU MC NL PT SE					
JP 2002529824	W	JA	33		PCT Application WO 1999US26254
					Based on CPI patent WO 2000026843
ZA 200103855	A	EN	42		
MX 2001004662	A1	ES			PCT Application WO 1999US26254

NZ 511555	A	EN	Based on CPI patent	WO 2000026843
			PCT Application	WO 1999US26254
AU 771672	B2	EN	Based on CPI patent	WO 2000026843
			Previously issued patent	AU 200016102
			Based on CPI patent	WO 2000026843

# **Original Publication Data by Authority**

## **Argentina**

Assignee name & address:

### **Original Abstracts:**

... performance in a predetermined initial value of investment over a selected time period is then **calculated** based on **changes in value** over a first **historical data**, **separately** for each asset allocation and tax status (126) to obtain a changed investment value. The...  
 ... performance in a predetermined initial value of investment over a selected time period is then **calculated** based on **changes in value** over a first **historical data**, **separately** for each asset allocation and tax status (126) to obtain a changed investment value. The...

### **Claims:**